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CATALOG OF AUTOMATED FRONT-END ELIGIBILITY VERIFICATION TECHNIQUES

A Project of the President's Council on Integrity and Efficiency

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AND HUMAN SERVICES

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Foreword

This catalog highlights the results of a survey of State front-end or prepayment automated techniques used to verify eligibility for four major benefit programs: Aid to Families with Dependent Children, Food Stamps, Medicaid and Unemployment Insurance. The techniques reported here are used to prevent fraud and errors; i.e., they are used to confirm eligibility for benefits before any payments are made. The catalog has been compiled by the U.S. Department of Health and Human Services' Office of the Inspector General (OIG), under the aegis of the President's Council on Integrity and Efficiency, to promote the sharing of money-saving computer technology among all the States and U.S. territories.

The Deficit Reduction Act (DEFRA), signed by President Reagan in July 1984, includes new provisions on income and eligibility verification procedures. These procedures will directly affect how States confirm the eligibility of applicants for the benefit programs mentioned above. The new DEFRA provisions will enable federally funded welfare agencies to make more accurate eligibility determinations and benefit payments by exchanging information with each other and by obtaining unearned income data from the Internal Revenue Service and wage and other income information from the Social Security Administration. The techniques described in this catalog are employed at the front-end; many of them accomplish one or more of the goals mandated under DEFRA. Thus, this compendium will help States identify efficient, effective ways to comply with the income and eligibility verification regulations to be published in the near future.

By widely disseminating this comprehensive listing of preventive computer applications, we intend to assist those States and jurisdictions considering implementing front-end techniques or enhancing their systems. Although much attention has been given to computer techniques in recent years, this is the first national study to concentrate solely on prepayment use of automated verification systems. Our goal in publishing this catalog is to identify the increasing use of automated front-end verification applications and to offer possible systems for replication.

This catalog does not recommend one technique over another. Rather, it lists all current techniques and those under development. Section I includes background information and the study methodology. Section II presents summary data about the kinds and levels of front-end techniques reported by all 50 States, the District of Columbia and the jurisdictions of Guam, Puerto Rico and the Virgin Islands. Section III contains individual descriptions of the 173 different techniques reported as in use or under development by the jurisdictions. The appendices contain the survey which was sent to the States, and a glossary of abbreviations and terms.

This project would not have been possible without the participation of the States and territories surveyed. Thanks to their cooperation, we have been able to gather important data on a new preventive technology and thus help reduce fraud, waste and abuse in welfare and employment security programs.

This catalog is the product of many efforts over long months. During that time, I have chaired an interdisciplinary work group from the various Offices of Inspectors General and other organizations. Their contributions have been critical to compiling this catalog, which I am certain will promote the increased use of automated front-end verification techniques. I would like to thank the work group members: Mary Barry, Department of Housing and Urban Development; Allene Brown, Department of Education; Paula Hayes, Department of Agriculture; Les Johnson, Department of Agriculture; Doug Hunt, National Aeronautics and Space Administration; Virgil Jones, Department of Health and Human Services; Mike Marshall, Department of Agriculture; Pat Skees, Department of Labor; Pat Spirer, Department of Agriculture; and Clayton Vickland, D.C. Department of Human Services.

From my staff, Elisabeth Handley served as Project Director, with the help of Alana Landey, Assistant Director, Elsie Chaisson, Ed Meyers, Gail Shelton, Jane Tebbutt and Charlette Ross.

Additional copies of this catalog are available from the U.S. Department of Health and Human Services, Office of the Inspector General, Office of Analysis and Inspections, 330 Independence Avenue, S.W., Room 5643, Washington, D.C. 20201.

Richard P. Kusserow

Richard P. Kusserow
Inspector General

SECTION I - BACKGROUND INFORMATION ON STUDY

Background

Over the past several years, Federal and State governments have become heavily dependent on computers in many facets of their daily activities. Over 20,000 mainframe computerized systems have been identified in the Federal Government to date, and the number of State systems is growing at a rapid rate. This increased use of data processing is especially critical to the many public benefit programs, which distribute billions of dollars in public aid annually. In these times of decreasing resources, data processing technology provides program administrators with an opportunity to increase productivity while keeping operating costs constant.

One organization involved deeply in this issue is the President's Council on Integrity and Efficiency (PCIE). The PCIE, composed of Federal agency Inspectors General and chaired by the Deputy Director of the Office of Management and Budget (OMB), was created by President Reagan in March 1981 to coordinate Government-wide efforts to reduce fraud, waste and abuse. One of the PCIE's concerns is to encourage States to use computer technology in administering their benefit programs as one means of preventing overpayments and reducing welfare fraud. The Council has emphasized the use of "front-end" or "prepayment" techniques that are used to verify applicants' eligibility before they receive any payments, thus preventing overpayments and insuring that benefits reach only those entitled to them.

What Are Automated Front-End Eligibility Verification Systems?

An automated front-end eligibility verification system or technique, as we have defined it, is a method to ensure via computer that an applicant to a benefit program is entitled to the benefits before actual payment or award is made. An example would be a statewide network of computers containing data on State residents receiving unemployment compensation benefits, which are used by eligibility workers at the beginning of the eligibility determination process to determine if applicants are accurately reporting this type of income. No ruling on eligibility would be made and no benefits would be paid until the unemployment compensation benefit information has been received, analyzed, and verified. In benefit programs such as Aid to Families with Dependent Children (AFDC), Food Stamps and Medicaid, unearned income such as this is one of the keys to eligibility, and the fast and accurate verification of it is critical to preventing erroneous payments.

Eligibility factors other than unearned income can also be verified on the front-end. Other eligibility factors listed in this catalog include earned income, age, citizenship, identity, work history, and others. Regardless of which eligibility factors are verified, each technique in this catalog employs a computer to verify certain facts about applicants before benefits are awarded.

Why Front-End Verification Techniques?

The Federal Government is specifically interested in front-end or prepayment eligibility verification techniques because such techniques improve the efficiency and effectiveness of benefit programs and save money by preventing erroneous payments or overpayments. A preventive, proactive approach to the error and abuse in benefit programs can detect waste and prevent theft before those who have accidentally or intentionally misrepresented their circumstances receive payments.

While it is impossible to pinpoint the exact dollars saved via deterrence measures, effective deterrence is cost-beneficial given the expenses incurred through detecting and eliminating already-existing fraud and abuse. These costs include searching for and identifying crime, tracking the perpetrator, possible court costs, and often lengthy and ineffective collection procedures to recoup losses.

Automation especially lends itself to fraud prevention efforts, given its speed and accuracy. A computerized eligibility verification system can match or screen data in seconds, often while the applicant is still in the office. The Federal Government, via the PCIE, encourages the use of such techniques because automated front-end verification systems help avoid the expense of overpayments resulting from either applicant fraud or human mistake.

Parameters of This Catalog

This catalog lists automated front-end eligibility verification techniques currently used or under development for use in States' Aid to Families with Dependent Children, Food Stamp, Medicaid and Unemployment Insurance programs. These four programs were selected because they are among the largest in terms of people served and program expenditures. Also, since the project could not encompass all public assistance or benefit programs, we opted to focus on programs with high levels of public participation.

The catalog concentrates only on prepayment techniques because of their considerable prevention/deterrence effects outlined above. The project considers only automated verification systems because, although many manual front-end eligibility verification techniques are known to be in use, manual applications are labor-intensive and time-consuming. Project work group members agreed that the project's focus should be on the most efficient eligibility verification methods possible, i.e., automated methods.

Methodology of the Project

Our project goals were (1) to identify the level of State activity in automated front-end eligibility verification systems and (2) to encourage more States to use such systems. We decided to concentrate only on computer matches and screens because they are automated processes which involve the comparison of sets of data elements, either between two separate computer files (a match) or within the same file (a screen). Computers are the ideal method of performing routinized comparisons between extensive listings of data such as benefit program participants and new applicants, given the speed with which they can process vast amounts of information.

A category of automated front-end technique which we chose not to consider is the edit - a check in a system to assure that data elements entered are within certain acceptable limits. Edits are very commonplace and it would take considerable space to list the hundreds of routine edits used by the States. Also it appears that edits serve as threshold checks to prevent non-entry or inconsistent entry of data rather than to verify eligibility.

To complete the project, a questionnaire (see Appendix A) was formulated by work group members and survey design experts. A draft of the survey instrument was pretested in three States: Nevada, Texas and Maryland. The final survey instrument was mailed in late April 1984 to the heads of the departments administering the Aid to Families with Dependent Children, Food Stamp, Medicaid and Unemployment Insurance programs in each State and in the District of Columbia, Guam, Puerto Rico and the Virgin Islands. Thus, the techniques reflect information returned by State officials about State and local systems.

Returned surveys were compiled into a draft catalog which was distributed to State and Federal program officials for comments in March, 1985. After receiving their revisions, comments, and additional techniques, this final catalog was prepared. The techniques contained in it reflect only information supplied by State officials about State and local systems, and is current as of August 30, 1985. Eight agencies out of 109 did not respond to the initial survey or to the draft catalog. (The same eight did not respond to both the survey and the draft catalog). Thus, the catalog has a response rate of 93 percent.

We screened each returned survey for both the draft and final catalogs for whether it fit the definition of a front-end eligibility verification technique. In addition, we eliminated non-qualifying techniques, such as those involving automated eligibility determination processes instead of verification processes and those involving eligibility verification after receipt of benefits. Work group members made follow-up telephone calls to complete those surveys which lacked information or required clarification.

Some data from the surveys, such as cost effectiveness figures, are not listed in the individual descriptions in this catalog. Little information was supplied on these items, and what data we did get was usually incomplete - i.e., States told us how much a technique cost without providing savings figures, or they provided out-of-date numbers. On the other hand, there are data in the catalog's descriptions which were not requested on the survey form. This information was obtained either from the narrative descriptions provided by the States or in our follow-up telephone calls.

While we were very interested in collecting information on the impact of the individual techniques, the data provided by States were too incomplete to include. As Appendix A indicates, on the initial survey we asked States, for each technique, their developmental and operating costs, annual savings, annual number of applications denied, and numbers of raw and valid hits. For 54 of the 128 techniques, some information was provided for the eligibility verification techniques reported in the March 1985 draft catalog. The table below illustrates the paucity of consistent information across techniques:

For the draft catalog:

Information	Number of Techniques Reporting Data (Out of 128)
Developmental Costs	34 (27 percent)
Annual Operating Costs	27 (21 percent)
Annual Savings	13 (10 percent)
Number of Applications Denied Annually	16 (13 percent)
Number of Raw Hits	13 (10 percent)
Number of Valid Hits	10 (8 percent)

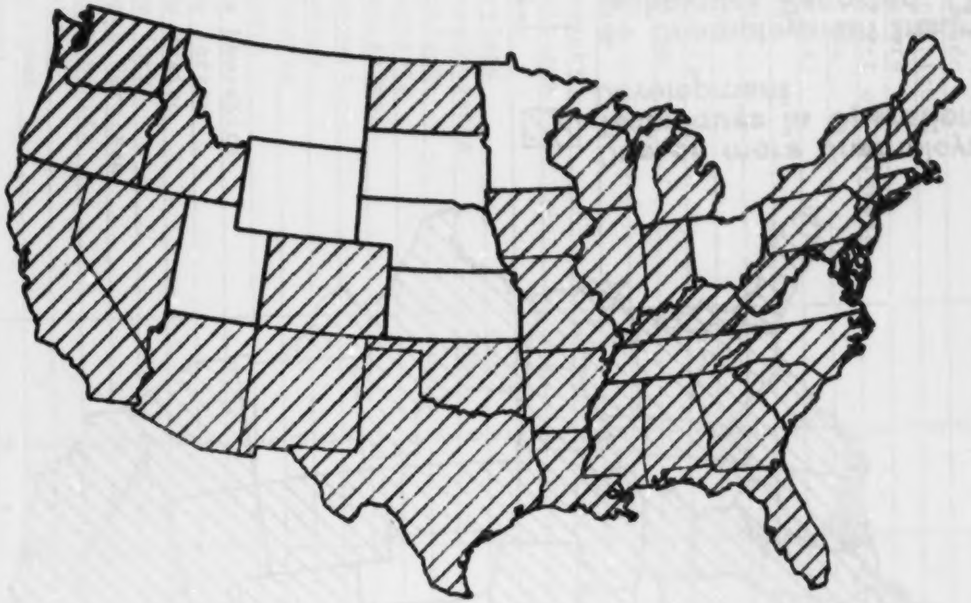
What this table does not show is how diverse responses were. States provided savings estimates without any operating cost estimates and vice versa. The figures themselves were in "old" dollars (i.e., a State might report developmental costs for

1976), and did not include the State's methodology for arriving at a particular number. For example, one agency estimated that it cost them $200 to develop and $10 annually to operate a system which verifies earned income. Another agency estimated that 98 percent of the hits they obtain from a check for duplicate benefits are valid. Clearly, further explanation is needed. Because of these difficulties, these data were not included.

SECTION II - SUMMARY DATA FROM THE SURVEYS

The data for the charts and tables which follow were extracted from the individual technique descriptions.

LOCATION OF WELFARE TECHNIQUES *

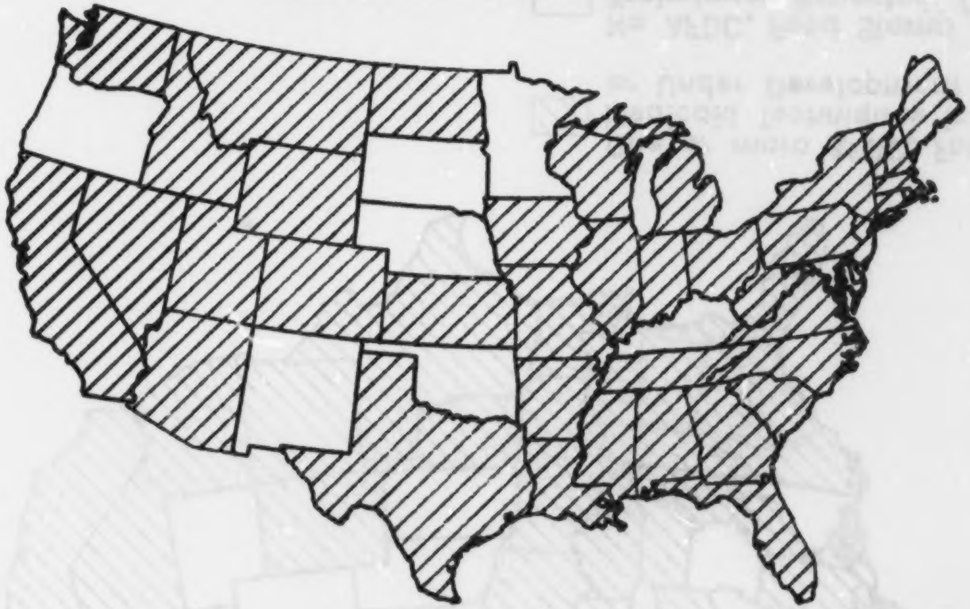


▨ One or more AFDC, Food Stamp or Medicaid Techniques in Operation or Under Development

☐ No AFDC, Food Stamp or Medicaid Techniques Reported. (This category includes survey nonrespondents.)

* Alaska and Hawaii each reported one or more AFDC, Food Stamp or Medicaid techniques. No welfare techniques were reported in Guam, Puerto Rico or the Virgin Islands.

LOCATION OF EMPLOYMENT SECURITY TECHNIQUES *



▨ One or more Unemployment Insurance Techniques In Operation or Under Development

☐ No Unemployment Insurance Techniques Reported. (This category includes survey nonrespondents.)

* Alaska, Puerto Rico and the Virgin Islands each reported one or more Unemployment Insurance techniques. Hawaii reported no Unemployment Insurance techniques. Guam does not have an Unemployment Insurance program.

NUMBER OF TECHNIQUES BY STATE

STATE	AGENCY	
	WELFARE*	EMPLOYMENT SECURITY
Alabama	5	2
Alaska	1	1
Arizona	3	1
Arkansas	2	1
California	1**	1
Colorado	3	3
Connecticut	2	1
Delaware	9	N/A***
District of Columbia	5	2
Florida	2	2
Georgia	1	2
Guam	0	0
Hawaii	5	0
Idaho	3	1
Illinois	1	3
Indiana	1	4
Iowa	4	1
Kansas	0	1
Kentucky	2	0
Louisiana	1	1
Maine	3	1
Maryland	2	0
Massachusetts	0	1
Michigan	2	2
Minnesota	0	0
Mississippi	1	3
Missouri	2	3
Montana	0	1
Nebraska	0	0
Nevada	3	1
New Hampshire	2	1
New Jersey	1	2
New Mexico	4	0
New York	2	2
North Carolina	6	1
North Dakota	1	1
Ohio	0	1
Oklahoma	2	0
Oregon	4	0
Pennsylvania	1	2
Puerto Rico	0****	1
Rhode Island	0	1
South Carolina	1	1
South Dakota	0	0

NUMBER OF TECHNIQUES BY STATE

STATE	AGENCY	
	WELFARE*	EMPLOYMENT SECURITY
Tennessee	1	1
Texas	5	5
Utah	N/A	2
Vermont	2	1
Virginia	N/A	3
Virgin Islands	0****	1
Washington	2	1
West Virginia	1	1
Wisconsin	1	2
Wyoming	0	4
	100	73

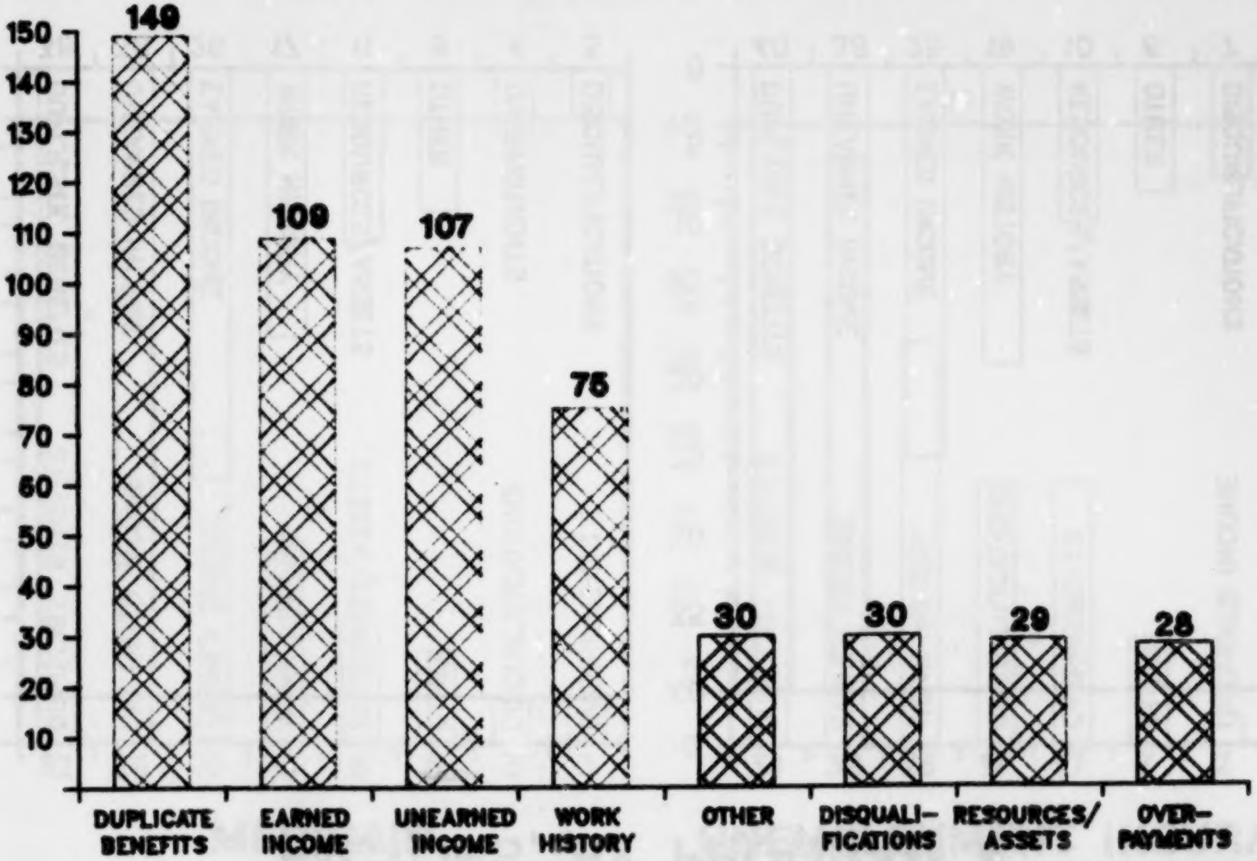
*Welfare refers to the agencies administering AFDC, Food Stamps, and Medicaid. Employment Security refers to the agencies administering Unemployment Insurance.

**The agency responsible for administering California's AFDC and Food Stamp programs did not respond to the survey or draft catalog.

***N/A means not available. Agencies with this listing did not respond to the survey or draft catalog. A zero indicates that an agency responded negatively.

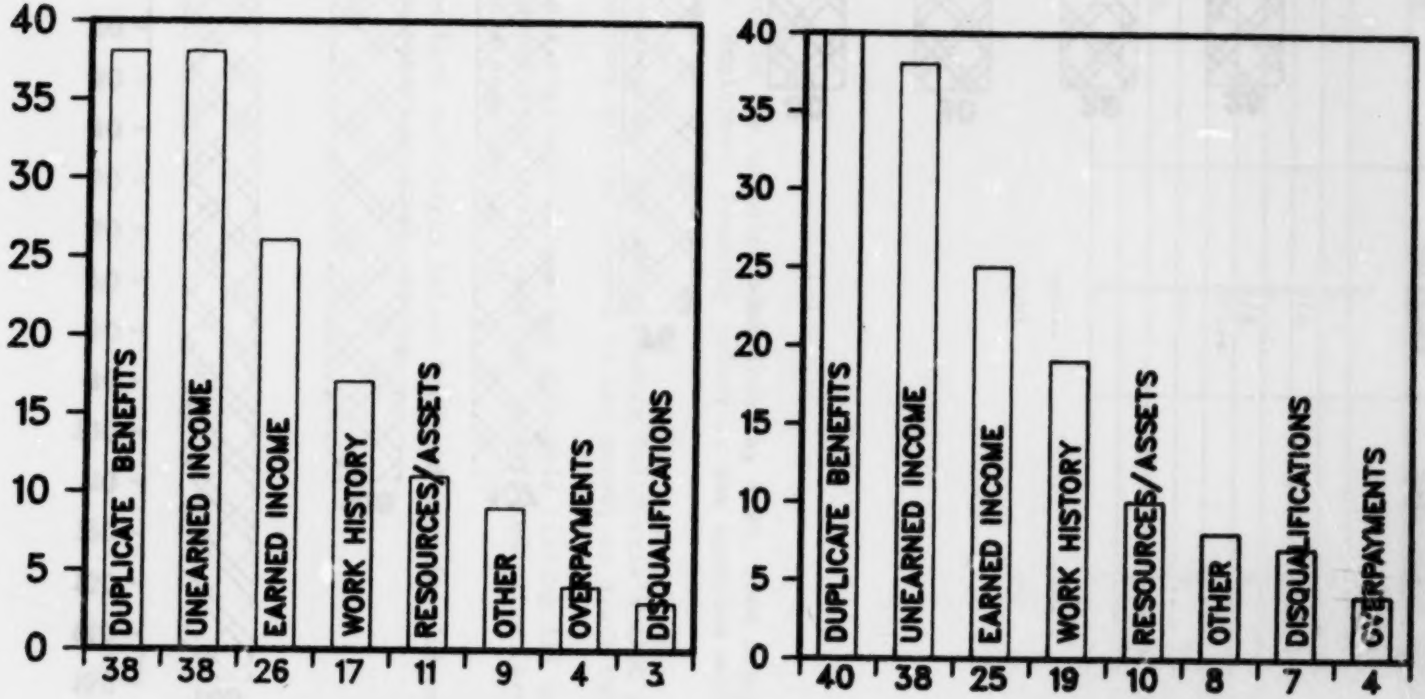
****The Puerto Rico and Virgin Island Medicaid agencies did not respond to the survey or draft catalog.

TOTAL NUMBER OF TECHNIQUES VERIFYING ELIGIBILITY FACTORS *



* The number of techniques listed in the graph is greater than the number of techniques reported because many techniques had multiple goals.

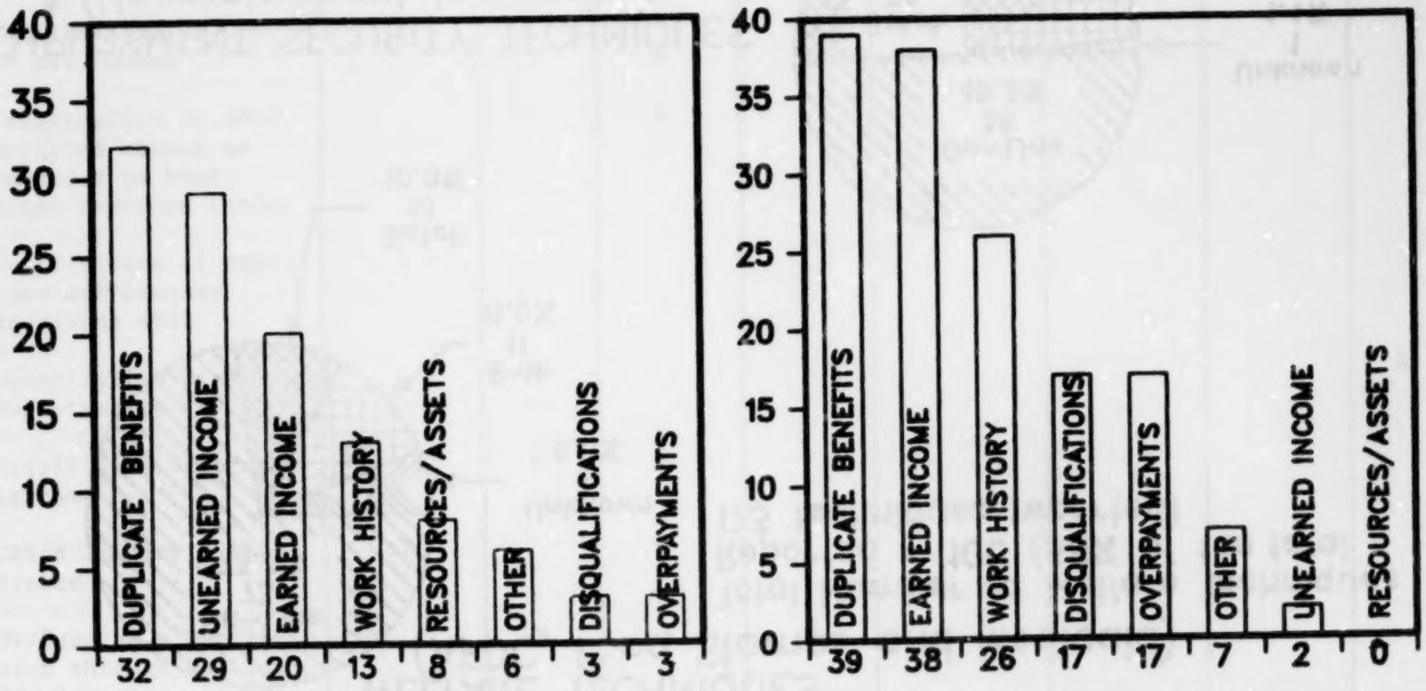
NUMBER OF TECHNIQUES VERIFYING ELIGIBILITY FACTORS, BY PROGRAM *



AFDC **FOOD STAMPS**

* The number of techniques listed for each graph is greater than the number of techniques reported for each program because many techniques had multiple goals.

NUMBER OF TECHNIQUES VERIFYING ELIGIBILITY FACTORS, BY PROGRAM *

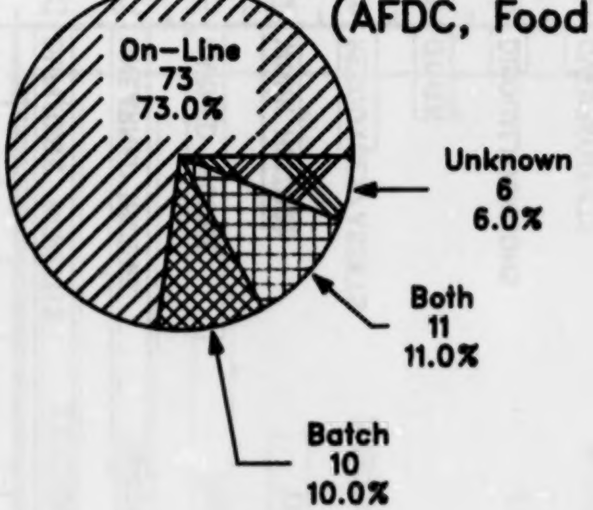


MEDICAID **UNEMPLOYMENT INSURANCE**

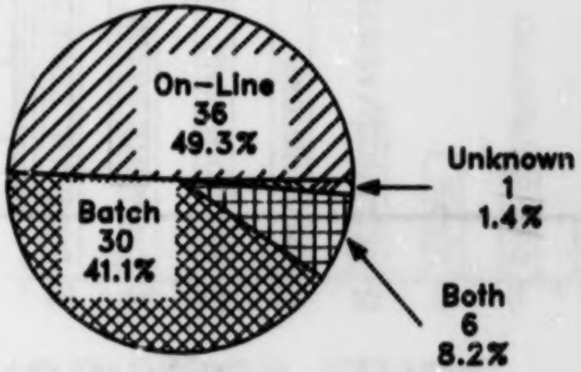
* The number of techniques listed for each graph is greater than the number of techniques reported for each program because many techniques had multiple goals.

METHOD OF COMPUTER PROCESSING

WELFARE TECHNIQUES
(AFDC, Food Stamps and Medicaid)



Total Number of Welfare Techniques Reported = 100 (58% of the total 173 techniques reported)



EMPLOYMENT SECURITY TECHNIQUES
(Unemployment Insurance)

Total Number of Employment Security Techniques Reported = 73 (42% of the total 173 techniques reported)

INDEX OF TECHNIQUES BY PROGRAM, FACTOR VERIFIED AND PAGE NUMBER

The techniques listed under each program verify an eligibility element for the program indicated. It should be noted that the technique may verify eligibility for multiple programs. The listing below indicates only one program so readers may see the universe of techniques for each program.

AFDC PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disqualifications	Over-payments	Other	Page Number
AL - Verification of Lack of SSI Income			X						42
AL - Verification of AFDC Reported Income as Compared to Food Stamp Reported Income			X						43
AL - Verification of Applicant Not Already Receiving AFDC	X								41
AL - Verification of WIN Registration								X	44
AK - Eligibility Information System	X	X	X						48
AZ - CASIS Inquiry & APIS System	X								50
AZ - UI/Base Wage Verification through On-Line Inquiry		X	X	X					51
AZ - DMV Check					X				52
AR - ESD On-Line		X	X	X					55

AFDC PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disqualifications	Over-payments	Other	Page Number
CA - File Compatibility Check	X								57
CO - Interdepartmental Exchange		X	X						60
CO - State Data Exchange			X						61
CT - Prior Eligibility	X								65
CT - Labor Access		X	X	X					66
DE - Duplicate Benefit Check	X								68
DE - Client Wage & UCB Check		X	X						69
DE - Previous Client Income & Resource Check		X	X		X				70
DE - SDX Interface			X						71
DE - Verification of Client Information Throughout AFDC, Food Stamp and Medicaid Eligibility Processing			X		X				72
DE - Verification of Client Ineligibility						X			73
DE - Verification of Over-payment Status							X		74
DE - Child Protective Services Check								X	75

AFDC PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disqualifications	Over-payments	Other	Page Number
DC - On-Line Wage & UIB Clearance Check		X	X						77
DC - Project Checkmate		X	X		X				78
DC - On-Line Motor Vehicle Records					X			X	79
DC - On-Line School Attendance								X	80
DC - Operator Permit Records								X	81
FL - Duplicate Benefits Check	X								85
GA - Duplicate Benefits Check	X								88
HA - Duplicate Benefits Check	X								91
HA - UCB Check			X						92
HA - DMV Match					X				93
HA - Persons Previously Overpaid							X		95
ID - Prior Contact Check	X					X	X		96
IL - Applicant Verification	X	X	X	X					100
IN - DPW 95/SSN Cross Match		X	X	X					104
IA - State Identification System	X								109

AFDC PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disquali- fications	Over- payments	Other	Page Number
IA - Automated Benefit Calculation System Screens	X								110
IA - State Data Exchange			X						111
KY - Screening for Duplicate SSNs	X								115
LA - CLIENT	X								117
ME - Automatic Checks/Edits Duplicate Records	X								119
ME - Worker Generated Computerized Request - Wage, UI Records		X	X	X					120
ME - Worker Generated Computerized Request - Motor Vehicle Records					X				121
MD - Wage Match		X	X	X					123
MD - AMF Screening	X								124
MI - Client Information System Duplicate Benefits Check	X								125
MI - DSS/Secretary of State Terminal Project					X				127

AFDC PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disquali- fications	Over- payments	Other	Page Number
MS - MAVERICS	X	X	X	X	X			X	130
MO - Employment Security Wage/UCB Match		X	X	X					134
MO - Birth Records Match								X	135
NV - Welfare Referral System Income Assistance Check	X								140
NV - AFDC, Food Stamps, & Medicaid/Applicant Wage Match		X	X	X					141
NV - Welfare Referral System Wage & UCB Match		X	X						142
NH - Automated Eligibility Management Systems	X								144
NH - Prescreen for Wage & UCB	X	X	X						145
NJ - UIB Verification System			X						147
NM - 198C	X								150
NY - Resource File Integration		X		X					154
NY - Overnight Clearance System		X		X					155
NC - Name Search for EIS	X								158

AFDC PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disquali- fications	Over- payments	Other	Page Number
NC - Employment Security Commission File		X	X	X					160
NC - State Data Exchange			X						161
NC - DMV Match					X				163
NC - SSA Query Card			X						162
ND - TECS	X				X				165
OK - ALFX Look-Up	X		X						168
OK - Case Number Assignment	X								169
OR - Central Client Index	X		X						170
OR - Employment Division Inquiry		X	X						172
OR - CASCADE	X	X	X	X					173
PA - Wage/UC Inquiry System		X	X	X					174
TN - Clearinghouse	X	X	X	X				X	181
TX - NOA Report	X								183
TX - Automated Perfect Match of Client Biographical Information	X								184
TX - OTIS	X								185

AFDC PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disquali- fications	Over- payments	Other	Page Number
TX - Eligibility Worker Requested Wage/UCB Match		X	X	X					186
TX - SSA Query Card System			X						187
VT - Name & SSN Match	X								195
VT - UCB Match			X						196
WA - File Clearance & Pre-authorization Cross Checks	X								202
WA - COSMOS Clearance Process	X					X	X	X	203
WI - Match the Applicant's SSN Against SSN of Active Caseheads	X								205
WV - Client Index System	X								212
TOTALS:	38	26	38	17	11	3	4	9	

FOOD STAMP PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disquali-fications	Over-payments	Other	Page Number
AK - Eligibility Information System	X	X	X						48
AZ - CASIS Inquiry & APIS System	X								50
AZ - UI/Base Wage Verification through On-Line Inquiry		X	X	X					51
AZ - DMV Check					X				52
AR - Screening of Head of Households SSN	X								54
AR - ESD On-Line		X	X	X					55
CA - File Compatibility Check	X								57
CO - Dual Participation Check on Head of Household	X								59
CO - Interdepartmental Exchange		X	X						60
CO - State Data Exchange			X						61
CT - Prior Eligibility	X								65
CT - Labor Access		X	X	X					66
DE - Duplicate Benefit Check	X								68
DE - Client Wage & UCB Check		X	X						69

FOOD STAMP PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disqualifications	Over-payments	Other	Page Number
DE - Previous Client Income & Resource Check		X	X		X				70
DE - SDX Interface			X						71
DE - Verification of Client Information Throughout AFDC, Food Stamp, and Medicaid Eligibility Processing			X		X				72
DE - Verification of Client Ineligibility Periods						X			73
DE - Verification of Overpayment Status							X		74
DE - Child Protective Services Check									75
DC - On-Line Wage & UIB Clearance Check		X	X						77
DC - On-Line Motor Vehicle Records					X			X	79
DC - On-Line School Attendance Records								X	80
DC - Operator Permit Records								X	81
FL - Duplicate Benefits Check via SSN	X								84

FOOD STAMPS PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disquali- fications	Over- payments	Other	Page Number
GA - Duplicate Benefits Check	X								88
HA - Duplicate Benefits Check	X								91
HA - UCB Check			X						92
HA - DMV Match					X				93
HA - Persons Disqualified						X			94
HA - Persons Previously Overpaid							X		95
ID - Prior Contact Check	X					X	X		96
ID - State Data Exchange			X						97
ID - Persons Disqualified from Food Stamp Program due to IPV						X			98
IL - Applicant Verification	X	X	X	X					100
IN - DPW 95/SSN Cross Match		X	X	X					104
IA - State Identification System	X								109
IA - Automated Benefit Calculation System Screens	X								110

FOOD STAMPS PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disquali- fications	Over- payments	Other	Page Number
IA - State Data Exchange			X						111
IA - Intentional Program Violation Disqualification						X			112
KY - Disqualification File/ Duplicate Participation Check	X					X			116
LA - CLIENT	X								117
ME - Automatic Checks/Edits - Duplicate Records	X								119
ME - Worker Generated Computerized Request - Wage, UI Records		X	X	X					120
ME - Worker Generated Computerized Request - Motor Vehicle Records					X				121
MD - Wage Match		X	X	X					123
MD - AMF Screenings	X								124
MI - Client Information System Duplicate Benefits Check	X								125
MI - DSS/Secretary of State Terminal Project					X				127

FOOD STAMPS PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disqualifications	Over-payments	Other	Page Number
MS - MAVERICS	X	X	X	X	X			X	130
MO - Employment Security Wage/UCB Match		X	X	X					134
NV - Welfare Referral System Income Assistance Check	X								140
NV- AFDC, Food Stamps & Medicaid Applicant Match		X	X	X					141
NV - Welfare Referral System Wage & UCB Match		X	X						142
NH - Automated Eligibility Management Systems	X								144
NH - Prescreen for Wage & UCB	X	X	X						145
NJ - UIB Verification System			X						147
NM - Duplicate Benefits Check	X								151
NM - HPDX			X						152
NM - HPWX			X	X				X	153
NY - Resource File Integration		X		X					154

FOOD STAMPS PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disquali- fications	Over- payments	Other	Page Number
NY - Overnight Clearance System		X		X					155
NC - Screen Comparison for Duplicate Participation	X								159
NC - Employment Security Commission File		X	X	X					160
NC - State Data Exchange			X						161
NC - DMV Match					X				163
NC - SSA Query Card System			X						162
ND - TECS	X				X				165
OK - ALFX Look-Up	X		X						168
OK - Case Number Assignment	X								169
OR - Verification of Eligibility	X								171
OR - CASCADE	X	X	X	X					173
PA - Wage/UC Inquiry System		X	X	X					174
SC - Employment Security Commission/Food Stamp Match & Unduplicated Participation	X	X	X	X					179

FOOD STAMPS PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disqualifications	Over-payments	Other	Page Number
TN - Clearinghouse	X	X	X	X				X	181
TX - NOA Report	X								183
TX - Automated Perfect Match of Client Biographical Info	X								184
TX - OTIS	X								185
TX - Eligibility Worker Requested Wage/UCB Match		X	X	X					186
TX - SSA Query Card System			X						187
VT - Name & SSN Match	X								195
VT - UCB Match			X						196
WA - File Clearance & Preauthorization Cross Checks	X								202
WA - COSMOS	X					X	X	X	203
WI - Match the Applicant's SSN Against the SSN of Active Caseheads	X								205
WV - Client Index System	X								212
TOTALS:	40	25	38	19	10	7	4	8	

MEDICAID PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disqualifications	Over-payments	Other	Page Number
AL - Automated Application System	X								45
AK - Eligibility Information System	X	X	X						48
AZ - CASIS Inquiry & APIS System	X								50
AZ - DMV Check					X				52
AR - ESD On-Line		X	X	X					55
CA - File Compatiblity Check	X								57
CO - Interdepartmental Exchange		X	X						60
CO - State Data Exchange			X						61
CT - Prior Eligibility	X								65
CT - Labor Access		X	X	X					66
DE - Duplicate Benefit Check	X								68
DE - Client Wage & UCB Check		X	X						69
DE - Previous Client Income & Resource Check		X	X		X				70
DE - SDX Interface			X						71

MEDICAID PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disquali- fications	Over- payments	Other	Page Number
DE - Verification of Client Information Throughout AFDC, Food Stamp, and Processing			X		X				72
DE - Verification of Client Ineligibility Periods						X			73
DE - Third Party Medical Insurance Verification								X	76
DE - Child Protect Service Check									75
DC - On-Line Wage & Clearance Check		X	X						77
DC - On-Line Motor Vehicle Records					X			X	79
DC - On-Line School Attendance Records								X	80
DC - Operator Permit Records								X	81
GA - Duplicate Benefits Check	X								88
HA - Duplicate Benefits Check	X								91
HA - UCB Check			X						92
HA - DMV Match					X				93

MEDICAID PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disquali- fications	Over- payments	Other	Page Number
HA - Persons Previously Overpaid							X		95
ID - Prior Contact Check	X					X	X		96
ID - State Data Exchange			X						97
IL - Applicant Verification	X	X	X	X					100
IN - DPW 95/SSN Cross Match		X	X	X					104
IA - State Identification System	X								109
IA - Automated Benefit Calculation System Screens	X								110
IA - State Data Exchange			X						111
KY - Screening for Duplicate SSNs	X								115
LA - CLIENT	X								117
ME - Worker Generated Computerized Request - Wage, UI Records		X	X	X					120
ME - Automatic Checks/Edits - Duplicate Records	X								119

MEDICAID PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disqualifications	Overpayments	Other	Page Number
ME - Worker Generated Computerized Request - Motor Vehicle Records					X				121
MD - AMF Screening	X								124
MI - Client Information System Duplicate Benefits Check	X								125
MI - DSS/Secretary of State Terminal Project					X				127
MO - Employment Security Wage/UCB Match		X	X	X					134
MO - Birth Records Match								X	135
NV - AFDC, Food Stamps & Medicaid Applicant Wage Match		X	X	X					141
NV - Welfare Referral System Wage & UCB Match		X	X						142
NH - Automated Eligibility Management System	X								144
NY - Resource File Integration		X		X					154
NY - Overnight Clearance System		X		X					155

MEDICAID PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disquali- fications	Over- payments	Other	Page Number
NC - Name Search for EIS	X								158
NC - Employment Security Commission File		X	X	X					160
NC - State Data Exchange			X						161
NC - DMV Match					X				163
NC - SSA Query Card System			X						162
OK - ALFX Look-Up	X		X						168
OK - Case Number Assignment	X								169
OR - Central Client Index	X								170
OR - Employment Division Inquiry		X	X						172
OR - CASCADE	X	X	X	X					173
PA - Wage/UC Inquiry System		X	X	X					174
TN - Clearinghouse	X	X	X	X					181
TX - NOA Report	X								183
TX - Automated Perfect Match of Client Biographical Info	X								184
TX - OTIS	X								185

MEDICAID PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disqualifications	Over-payments	Other	Page Number
TX - SSA - Query Card System			X						187
VT - Name & SSN Match	X								195
VT - UCB Match			X						196
WA - File Clearance & Preauthorization Cross Checks	X								202
WA - COSMOS	X					X	X		203
WI - Match the Applicant's SSN Against the SSN of Active Caseheads	X								205
WV - Client Index System	X								212
TOTALS:	32	20	29	13	8	3	3	6	

UNEMPLOYMENT INSURANCE PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disqualifications	Over-payments	Other	Page Number
AL - Monetary Eligibility for UCB		X		X					46
AL - Nonmonetary Eligibility for UCB						X			47
AK - Pseudo Monetary	X	X		X					49
AZ - Initial Claim Processing	X	X							53
AR - Crossmatch - Wage File, Previous Claim File	X	X				X			56
CA - Use of Computer Files of Employer Wages & Eligibility Flags	X	X				X	X		58
CO - Establishment of Monetary Eligibility by Wage Data Input		X							62
CO - Alien Status Check								X	63
CO - Illegal Alien SSN Check								X	64
CT - Claimant Name/Wage Record Match	X	X		X					67
DC - Claim Check	X	X							82

UNEMPLOYMENT INSURANCE PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disquali-fications	Over-payments	Other	Page Number
DC - Monetary Determination		X		X					83
FL - Previous Valid Claim	X								86
FL - Fraud Stop Orders & Labor Dispute Stop Orders						X			87
GA - Entry of Initial UI Claim	X	X							89
GA - Preliminary Monetary Determination		X		X					90
ID - BASIS	X	X		X		X	X		99
IL - Duplicate Claim Checking	X								101
IL - Claimant Dependency	X								102
IL - Determine Monetary Eligibility		X		X					103
IN - Duplicate Benefits Check	X								105
IN - Monetary Determination		X							107
IN - Dependency Check								X	108
IN - Initial Claim	X								106

UNEMPLOYMENT INSURANCE PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disqualifications	Over-payments	Other	Page Number
IA - UI Claim	X	X		X					113
KS - Monetary Pre-Determination		X		X					114
LA - Processing of Separation Notices						X			118
ME - UI Initial Claim Process	X	X		X				X	122
MA - Initial Claim Verification	X						X	X	125
MI - Claimant Status Verification	X					X	X		128
MI - Employer Liability Verification								X	129
MS - Monetary Eligibility For UCB	X	X		X					131
MS - Nonmonetary Eligibility for UCB						X			132
MS - Use of Computer File to Detect Overpayments							X		133
MO - Premonetary Determination Process		X		X					136

UNEMPLOYMENT INSURANCE PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disqualifications	Overpayments	Other	Page Number
MO - Initial & Continued Claims Process						X			137
MO - Overpayments Check							X		138
MT - Name Verification	X	X							139
NV - Pre-Monetary Determination	X	X		X					143
NH - Monetary Determinations	X	X		X		X	X		146
NJ - LOOPS	X		X				X		148
NJ - Initial Claims Check		X		X					149
NY - Original Claim Preliminary Verification	X								156
NY - Verification of Eligibility to Establish a Valid Original Claim		X		X		X			157
NC - Monetary Determination		X							164
ND - No Name	X	X		X					166
OH - Initial Claim Verification	X							X	167
PA - Financial Determination	X	X		X					175

UNEMPLOYMENT INSURANCE PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disqualifications	Over-payments	Other	Page Number
PA - Prevention of Payment Where An Overpayment Balance Exists							X		176
PR - No Name	X	X		X					177
RI - Claims Control	X		X				X		178
SC - Monetary Determination Process	X	X		X			X		180
TN - Pre-eligibility Check	X	X		X			X		182
TX - Initial Claims Process	X								188
TX - Premonetary Determination		X							189
TX - Monetary Determination Process		X							190
TX - Initial & Weekly Claims Process						X			191
TX - Overpayment Absorption Process						X			192
UT - Cross-Match	X					X	X		193
UT - Premonetary Determination		X		X					194

UNEMPLOYMENT INSURANCE PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disqualifications	Over-payments	Other	Page Number
VT - New Claims Process	X								197
VA - Duplicate Claim Verification	X								198
VA - Name Check Verification		X		X					199
VA - Disqualification or Overpayment Verification						X	X		200
VI - Initial Claim Determination	X	X							201
WA - Monetary Determination Process	X	X		X		X			204
WI - SSN Check	X								206
WI - Disqualification & Overpayment Check						X	X		207
WY - Initial Claims Process	X								208
WY - UI Monetary Eligibility		X		X					209
WY - Initial and Weekly Claims Process						X			210

UNEMPLOYMENT INSURANCE PROGRAM

Name of Technique	Duplicate Benefits	Earned Income	Unearned Income	Work History	Resources/ Assets	Disqualifications	Over-payments	Other	Page Number
WY - Overpayment Absorption Process							X		211
WV - Eligibility for UI	X	X		X					213
TOTALS:	39	38	2	26	0	17	17	7	

SECTION III - INDIVIDUAL TECHNIQUE DESCRIPTIONS

AGENCY: Department of Pensions and Security

NAME: Verification of Applicant not Already Receiving AFDC

PURPOSE: To prevent duplicate benefits

PROGRAM: AFDC

FILE: AFDC Recipient

STATUS: Operational since 1982.

DESCRIPTION: Two checks for duplicate benefits are done. When an AFDC applicant first applies, a check for existing benefits is performed on-line using the applicant's SSN or name. If the applicant is already receiving assistance, a new case cannot be opened. After eligibility has been determined but before a check has been issued, a second (batch) check is done. The AFDC computer system contains a screen which disallows duplicate SSNs on the AFDC master file. Every record on file has an SSN or a temporary number. The case will not be awarded if an applicant's SSN is already on file.

PROCESSING: On-line & batch USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA)	X (OFA)

CONTACT: Ben Nichols
Data Processing Programming Manager
Department of Pensions and Security
Bureau of Data Processing
64 North Union Street
Montgomery, AL 36130
(205) 261-3244

ALABAMA

AGENCY: Department of Pensions and Security

NAME: Verification of Lack of SSI Income

PURPOSE: To verify unearned income

PROGRAM: AFDC

FILE: State Data Exchange

STATUS: Operational since 1982.

DESCRIPTION: The State takes the State Data Exchange tape received monthly from the Social Security Administration and puts it into its computer, creating a data base that workers access on-line. Workers inquire on an SSI screen to determine if an AFDC applicant is currently receiving SSI benefits.

PROCESSING: On-line USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA)	X (OFA)

CONTACT: Ben Nichols
Data Processing Programming Manager
Department of Pensions and Security
Bureau of Data Processing
64 North Union Street
Montgomery, AL 36130
(205) 261-3244

ALABAMA

AGENCY: Department of Pensions and Security

NAME: Verification of AFDC Reported Income as Compared to Food Stamp Reported Income

PURPOSE: To verify unearned income

PROGRAM: AFDC

FILE: Food Stamp Recipient

STATUS: Operational since 1981.

DESCRIPTION: Before awarding an AFDC case, workers use on-line access to screen a Food Stamp case to determine if there is a discrepancy between AFDC and Food Stamp reported income. This is a screen which shows workers what the applicant's Food Stamp benefit amount is.

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA)	X (OFA)

CONTACT: Ben Nichols
Data Processing Programming Manager
Department of Pensions and Security
Bureau of Data Processing
64 North Union Street
Montgomery, AL 36130
(205) 261-3244

ALABAMA

AGENCY: Department of Pensions and Security

NAME: Verification of WIN Registration

PURPOSE: To verify work registration

PROGRAM: AFDC

FILE: Employment Services Work Incentive

STATUS: Operational since March 1984.

DESCRIPTION: The AFDC master file and Employment Services WIN file are matched monthly and produce WIN registration reports. Since the system contains an edit which does not allow a case to be opened unless a WIN registration verification code has been entered, the WIN reports notify the worker that the applicant has registered for WIN. Thus, the worker may enter the WIN verification code.

PROCESSING: Batch **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA)	X (OFA)

CONTACT: Ben Nichols
Data Processing Programming Manager
Department of Pensions & Security
Bureau of Data Processing
64 North Union Street
Montgomery, AL 36130
(205) 261-3244

ALABAMA

AGENCY: Medicaid Agency

NAME: Automated Application System

PURPOSE: To prevent duplicate benefits

PROGRAM: Medicaid

FILE: Medicaid Recipient

STATUS: Operational in three districts since 1982. Phased implementation for remainder of state scheduled for 1985.

DESCRIPTION: As part of the Automated Application System, when a Medicaid application is received in the Medicaid district office, the eligibility and application file is screened. This screen shows whether the applicant has received Medicaid in the past, is currently eligible through another program, or has applied in another Medicaid district office.

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (HCFA)	X (HCFA)

CONTACT: Sarah Mingledorff
Director of Data Management
Alabama Medicaid Agency
2500 Fairlane Drive
Montgomery, AL 36130
(205) 277-2710

ALABAMA

AGENCY: Unemployment Compensation Agency

NAME: Monetary Eligibility for Unemployment Compensation Benefits

PURPOSE: To verify earned income and work history

PROGRAM: Unemployment Compensation Benefits

FILE: Wage

STATUS: Operational since before 1964.

DESCRIPTION: This technique determines the claimant's monetary eligibility by accessing the base period wage file. Each local office has a computer terminal which is used to access wages on-line to determine if sufficient wages in the proper time frame exist to qualify the claimant for benefits.

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local	Not available	
State		
Federal		X (ETA)

CONTACT: Joan Evans
Chief, U.C. Technical Services
Unemployment Compensation Agency
Industrial Relations Building
649 Monroe Street
Montgomery, AL 36130
(205) 261-5498

ALABAMA

AGENCY: Unemployment Compensation Agency

NAME: Nonmonetary Eligibility for Unemployment Compensation Benefits

PURPOSE: To verify disqualifications

PROGRAM: Unemployment Compensation Benefits

FILE: Disqualifications

STATUS: Operational since before 1964.

DESCRIPTION: This technique aids the worker in making an eligibility determination by determining if any previous disqualifications are still in effect. Workers have on-line access to a disqualifications file, which they match with applicant data at their discretion. Previous disqualifications still in effect are detected by the computer.

PROCESSING: On-line USE: At worker's discretion

FUNDING SOURCES:	Development	Operation
Local	Not	
State	available	
Federal		X (ETA)

CONTACT: Joan Evans
Chief, U.C. Technical Services
Unemployment Compensation Agency
Industrial Relations Building
649 Monroe Street
Montgomery, AL 36130
(205) 261-5498

ALASKA

AGENCY: Department of Public Assistance

NAME: Eligibility Information System

PURPOSE: To prevent duplicate benefits, and to verify earned and unearned income

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILES: Wage, Unemployment Compensation Benefits, Permanent Fund Dividend, AFDC, Food Stamp and Medicaid Recipients

STATUS: Operational in entire State since July 1984. (First State office began using in October 1983).

DESCRIPTION: The Eligibility Information System is an automated eligibility determination and verification system. As part of the verification system, on-line checks of an applicant's wages, UCB and Permanent Fund Dividend proceeds are done for all applicants. (The Permanent Fund Dividend is an Alaskan program which distributes excess State funds to citizens as dividends. By checking this file, the worker learns of any income an applicant may have received from this source). Workers also perform an on-line check of current recipients to insure that the applicant isn't already receiving benefits.

PROCESSING: On-line USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Eric Hansen
EIS Project Manager
Division of Health and Social Services
Department of Public Assistance
Juneau, AK 99811
(907) 465-3213 or 3014

ALASKA

AGENCY: Department of Labor

NAME: Pseudo Monetary

PURPOSE: To prevent duplicate benefits and to verify earned income and work history

PROGRAM: Unemployment Compensation Benefits

FILES: Wage, Unemployment Compensation Benefits and Employer

STATUS: Operational since 1980.

DESCRIPTION: When an applicant comes into a local office, she or he is shown a pseudo-monetary determination with all applicable wages and employers displayed. The pseudo-monetary determination is performed by a computer. Possible benefits are calculated and displayed. The applicant can immediately detect errors in wages or employers. Workers also use the displayed work history and wage data to confirm that the applicant has sufficient wages to be eligible. In addition, workers check the data base for existing beneficiaries to insure the applicant doesn't receive duplicate benefits.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		X
Federal	X (ETA)	X (ETA)

CONTACT: Jim Coate
Program Coordinator
Unemployment Insurance
P.O. Box 3-7000
Juneau, AK 99802
(907) 465-4531

ARIZONA

AGENCY: Department of Economic Security

NAME: CACIS Inquiry and APIS System

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid and General Assistance

FILES: AFDC, Food Stamp and Medicaid Recipient

STATUS: Operational since 1979.

DESCRIPTION: When an applicant applies for assistance, two checks to prevent duplicate benefits are completed. First, an inquiry for current or past benefits is performed on-line using the applicant's Social Security Number or name. If the applicant is already receiving assistance, the application will be denied. If this type of match is not successful, and eligibility has not been determined, a second batch check is done using the applicant's Social Security Number. If the applicant is currently participating in the program applied for, the system will reject the action.

PROCESSING: On-line and batch USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS)	X (OFA, FNS)

CONTACT: Vince Wood
Manager, Systems Development Unit (FAA)
Site 753C
1400 W. Washington
Phoenix, AZ 85007
(602) 255-5889

ARIZONA

AGENCY: Department of Economic Security

NAME: UI/Base Wage Verification through On-Line Inquiry

PURPOSE: To verify earned and unearned income and work history

PROGRAMS: AFDC and Food Stamps (public and non-public assistance)

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since 1982.

DESCRIPTION: At the time of application, the eligibility worker performs an on-line match of AFDC and Food Stamp applicant data with wage and UCB files. This technique is used on all applicants to insure that wages and Unemployment Compensation Benefits are considered before eligibility is certified.

PROCESSING: On-line USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS,)	X (OFA, FNS)

CONTACT: Vince Wood
Manager, Systems Development Unit (FAA)
Site 753C
1400 W. Washington
Phoenix, AZ 85007
(602) 255-5889

ARIZONA

AGENCY: Department of Economic Security

NAME: Division of Motor Vehicles Check

PURPOSE: To verify resources/assets

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) Medicaid, and General Assistance

FILE: Division of Motor Vehicles

STATUS: Operational since 1980.

DESCRIPTION: Eligibility workers in local offices complete requests for a match with the Division of Motor Vehicles and send them to the State Office. There Quality Control staff do an on-line match of the files and applicant data. A screen print can be made of all data available on each applicant for the above programs in the Division of Motor Vehicles files. This information is used to determine if excess resources exist.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT:
Vince Wood, Manager
Systems Development Unit (FAA)
Site 753C
1400 W. Washington
Phoenix, AZ 85007
(602) 255-5889

ARIZONA

AGENCY: Department of Economic Security

NAME: Initial Claim Processing

PURPOSE: To prevent duplicate benefits and to verify earned income

PROGRAM: Unemployment Compensation Benefits

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since 1969.

DESCRIPTION: Two checks for wages are done. In the first, when an applicant comes into the local office, the eligibility worker may opt to match his or her SSN on-line against wages already on file in order to determine if the applicant has sufficient wage credits. A second match, which is mandatory, is done automatically when applications are later sent from the local office to a central State processing location. One to two days after this batch match is run, wage statements listing all recorded wages are sent to local offices.

A match between applicants' SSNs and the claimant master file containing data on current UCB recipients is also done. A case cannot be established if there is already an existing benefit year attributed to an individual. Thus, this technique prevents the issuance of duplicate benefits.

PROCESSING: On-line and batch **USE:** Mandatory and at worker's discretion

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Richard Vales
Manager, Systems Development Section
1720 West Madison
Phoenix, AZ 85005
(602) 255-5930

ARKANSAS

AGENCY: Division of Social Services

NAME: Screening of Head of Household's Social Security Number

PURPOSE: To prevent duplicate benefits

PROGRAM: Food Stamps (public and non-public assistance)

FILE: Food Stamp Recipient

STATUS: Operational since January 1982.

DESCRIPTION: This technique is used to determine if a Food Stamp applicant is currently receiving benefits anywhere in the State. Upon application, a key operator matches the applicant's SSN against the existing Food Stamp caseload. If the SSN is being used elsewhere, a new case cannot be opened.

PROCESSING: On-line USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (FNS)	X (FNS)

CONTACT: Helen Davis
Acting Food Stamp Administrator
Arkansas Social Services
P.O. Box 1437
Little Rock, AR 72203
(501) 371-2465

ARKANSAS

AGENCY: Division of Social Services

NAME: ESD On-Line

PURPOSE: To verify earned and unearned income and work history

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid and Child Support Enforcement

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since August 1985.

DESCRIPTION: This technique allows eligibility workers to access wage and Unemployment Compensation Benefit data at application for AFDC, Food Stamp and Medicaid applicants. The worker enters the SSN of the household member for on-line access to the information.

PROCESSING: On-line **USE:** Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (FNS)	X (FNS)

CONTACT: Helen Davis
Acting Food Stamp Administrator
Arkansas Social Services
P.O. Box 1437
Little Rock, AR 72203
(501) 371-2465

ARKANSAS

AGENCY: Employment Security Division

NAME: Crossmatch - Wage File, Previous Claim File

PURPOSE: To prevent duplicate benefits and to verify earned income and disqualifications

PROGRAM: Unemployment Compensation Benefits

FILES: Wage, Unemployment Compensation Benefits and Disqualifications

STATUS: Operational since 1979.

DESCRIPTION: Eligibility workers may request matches with the wage file, the previous disqualifications file, and the UCB claim file. Requests are batch processed overnight and returned to workers the next day. The wage file is checked to see that qualifying wages are available and that the employer response is on file before benefits are paid. The disqualifications file is checked to determine if the applicant has previously been disqualified from participation. The UCB claim file is accessed via the applicant's SSN to verify that a claim has not already been filed.

PROCESSING: Batch

USE: At worker's discretion

FUNDING SOURCES:

	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Fred Allen
UI Data Processing Coordinator
Arkansas Employmnet Security Division
P.O. Box 2981 - Benefits Section
Little Rock, AR 72203
(501) 371-1181

CALIFORNIA

AGENCY: Department of Health Services

NAME: File Compatibility Check

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (non-public assistance) and Medicaid (MediCal)

FILES: AFDC, Food Stamp and Medicaid Recipients

STATUS: Operational various lengths of time depending upon county. Begun in first county in 1982. Operational in 7 counties only for Food Stamps.

DESCRIPTION: The File Compatibility Check is used to compare applicants to the above programs with the recipient data base to prevent duplicate issuance of benefits. County eligibility workers enter applicant data into the county's central data processing system. A daily tape of all applicant data is created by the county and sent to a central state processing location. All counties have on-line data entry capability, so a local office tape need not be created. A central batch match is performed, matching applicant SSNs against SSNs in the recipient data base. Within 3 to 4 days, county workers receive the match results.

PROCESSING: On-line and Batch USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Karla Gurley
Staff Services Manager
Department of Health Services
714 P Street, Room 1692
Sacramento, CA 95814
(916) 445-2759

CALIFORNIA

AGENCY: Employment Development Department

NAME: Use of a Computer File of Employer Wages and Use of a Computer File of Eligibility Flags

PURPOSE: To prevent duplicate benefits, and to verify earned income, disqualifications and overpayments

PROGRAM: Unemployment Compensation Benefits

FILES: Wage, Unemployment Compensation Benefits, Disqualifications and Overpayments

STATUS: Operational since before 1964.

DESCRIPTION: Applicant data is matched against the wage file, prior and current claim files, previous disqualifications data, and previous overpayments data. The matches are done to determine if an applicant has sufficient wage credits, is already receiving benefits, has previous disqualifications which would render him or her ineligible or was previously overpaid. For 146 of the State's 154 local offices, the matches are done at a central processing site via batch processing. Eight local offices have on-line access to the data.

PROCESSING: On-line and batch (Depends upon office)

USE: Not available

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: David S. Winkler
Deputy Chief, Unemployment Insurance Division
800 Capitol Mall
Sacramento, CA 95814
Attention: MIC 40
(916) 322-7942

COLORADO

AGENCY: Department of Social Services

NAME: Dual Participation Check on Head of Household

PURPOSE: To prevent duplicate benefits

PROGRAM: Food Stamps (public and non-public assistance)

FILE: Food Stamp Recipient

STATUS: Operational since July 1982.

DESCRIPTION: After a Food Stamp applicant has been interviewed by a worker, a data entry clerk enters head of household applicant data into the Food Stamp computer system. If the head of household is not on file, the case is accepted. If the head of household is on file in another case, the system will indicate this. In one county, (Pueblo County), a tape to tape computer match is done.

PROCESSING: Batch & On-line
(Depends upon county)

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		X
State		X
Federal	X (FNS)	X (FNS)

CONTACT: Jean Bress
Income & Support Services Division
1575 Sherman Street
Denver, CO 80203
(303) 866-5079

COLORADO

AGENCY: Department of Social Services

NAME: Interdepartmental Exchange

PURPOSE: To verify earned and unearned income

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid and Public Assistance

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since December 1981.

DESCRIPTION: In most counties, workers have the option of checking for wages and Unemployment Compensation Benefits for applicants by completing a form which is mailed to the State headquarters. There it is compared with Department of Labor wage and UCB files through batch processing. Turnaround time is generally one week. In nine counties, workers can check an applicant's income, using his or her SSN as an identifier, through on-line access to DOL wage and Unemployment Compensation Benefit files.

PROCESSING: Batch & On-line (Depends upon county) **USE**: At worker's discretion

FUNDING SOURCES:	Development	Operation
Local	X	X
State	X	X
Federal	X (OFA, HCFA)	X (OFA, HCFA)

CONTACT: Jean Bress
Income and Support Services Division
1575 Sherman Street
Denver, CO 80203
(303) 866-5079

COLORADO

AGENCY: Department of Social Services

NAME: State Data Exchange

PURPOSE: To verify unearned income

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid and Public Assistance

FILE: State Data Exchange

STATUS: Operational since December 1981.

DESCRIPTION: The State receives the State Data Exchange tape from SSA which lists all Supplemental Security Income (SSI) recipients in Colorado. Those counties with computers receive a tape from the State office listing their county's SSI recipients. This information is then computerized and available for workers' use. (In other counties, a manual match of SSI recipients and applicants is done.)

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:

	Development	Operation
Local	X	X
State	X	X
Federal	X (OFA, HCFA)	X (OFA, HCFA)

CONTACT: Jean Bress
Income and Support Services Division
1575 Sherman Street
Denver, CO 80203
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COLORADO

AGENCY: Division of Employment and Training

NAME: Establishment of Monetary Eligibility by Wage Data Input

PURPOSE: To verify earned income

PROGRAM: Unemployment Compensation Benefits

FILE: Wage

STATUS: Operational since 1979.

DESCRIPTION: When an applicant files to receive Unemployment Compensation Benefits, data from the application is immediately entered into the computer system and a monetary determination is performed. As part of this determination, the computer matches the applicant's SSN against the data base of employer-reported wages to check for sufficient wages.

PROCESSING: On-line

USE: Not available

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT:
Thomas E. Ivory
Chief of UI Procedures Unit
Colorado Division of Employment and Training
251 East 12th Avenue
Denver, CO 80203
(303) 866-6189

COLORADO

AGENCY: Division of Employment and Training

NAME: Alien Status Check

PURPOSE: To verify alien status

PROGRAM: Unemployment Compensation Benefits

FILE: Legal Alien

STATUS: Operational since 1982.

DESCRIPTION: When an individual applies for UCB, he or she must state their citizenship. All claim forms listing countries other than the United States are separated and matched against the Immigration and Naturalization Service's (INS) data base of known legal aliens. (INS periodically provides a tape to the State which then computerizes it, creating a data base). The State accesses INS records on-line. The match must be performed before a foreign citizen can receive benefits and is done to confirm that applicants claiming foreign citizenship are residing legally in the United States.

PROCESSING: On-line — **USE**: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Thomas E. Ivory
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COLORADO

AGENCY: Division of Employment and Training

NAME: Illegal Alien SSN Check

PURPOSE: To verify alien status

PROGRAM: Unemployment Compensation Benefits

FILE: Illegal Alien

STATUS: Operational since 1982.

DESCRIPTION: This procedure prevents payment of UCB to illegal aliens. A tape of SSNs of known illegal aliens is periodically provided by the Immigration and Naturalization Service to the State. The State computerizes this information, creating a data base that workers access on-line for all new UCB applicants.

PROCESSING: On-line USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Thomas E. Ivory
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Colorado Division of Employment and Training
251 East 12th Avenue
Denver, CO 80203
(303) 866-6189

CONNECTICUT

AGENCY: Department of Income Maintenance

NAME: Prior Eligibility

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILES: AFDC, Food Stamp and Medicaid Recipient

STATUS: Operational. Information not available on length of time operational.

DESCRIPTION: AFDC, Food Stamp and Medicaid applicant data is matched against files of current and past recipients in order to prevent the issuance of duplicate benefits. Historical data about past recipients is maintained for five to six years for matching purposes.

PROCESSING: Not available **USE:** Not available

FUNDING SOURCES:	Development	Operation
Local	Not available	
State		X
Federal		X (OFA, HCFA)

CONTACT: Robert P. Cook
Director, Operations and Contract Administration
Department of Income Maintenance
110 Bartholomew Avenue
Hartford, CT 06115
(203) 566-2918

CONNECTICUT

AGENCY: Department of Income Maintenance

NAME: Labor Access

PURPOSE: To verify earned and unearned income and work history

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since 1978.

DESCRIPTION: Before awarding a case, workers access the State Labor Department's data base of wage history data and Unemployment Compensation Benefits for all members of an applicant's household. This technique is used on all applicants to insure that wages and Unemployment Compensation Benefits are considered before eligibility is certified.

PROCESSING: On-line **USE:** Not available

FUNDING SOURCES:	Development	Operation
Local	Not available	
State		X
Federal		X (OFA, HCFA, FNS)

CONTACT: Robert P. Cook
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Department of Income Maintenance
110 Bartholomew Avenue
Hartford, CT 06115
(203) 566-2918

CONNECTICUT

AGENCY: Department of Labor

NAME: Claimant Name/Wage Record Match

PURPOSE: To prevent duplicate benefits, and to verify earned income and work history

PROGRAM: Unemployment Compensation Benefits

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since 1972.

DESCRIPTION: Prior to awarding UCB to an applicant, the local office which has taken the claim mails applicant data to a central office to have monetary eligibility calculated. At the central processing site, data off the application is entered into a computer system. The applicant's name and SSN are then matched against the wage record file to determine if sufficient wage credits and work weeks exist to establish eligibility. The name on the new claim must be identical to the name on the employer-reported wage record. If it doesn't match, the system will not determine eligibility. Local offices may also confirm wage amounts via terminals in the local office, but cannot edit most data.

When the applicant comes into the local office, the claimant master file, consisting of all current beneficiaries, is also accessed on-line to determine if an existing benefit year has already been established for an individual. This check prevents duplicate benefits. A second check for duplicate benefits is also done centrally during monetary determination (described above).

PROCESSING: On-line and batch **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local	Not	
State	available	X
Federal		X (ETA)

CONTACT: Charles W. McGlew
Assistant Unemployment Compensation Director
200 Folly Brook Boulevard
Wethersfield, CT 06109
(203) 566-5104

DELAWARE

AGENCY: Department of Health and Social Services

NAME: Duplicate Benefit Check

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid, Refugee Cash Assistance and General Assistance

FILES: AFDC, Food Stamp and Medicaid Recipients

STATUS: Operational since 1983.

DESCRIPTION: When an individual applies for any benefits of the programs identified above, the applicant along with all other individuals for whom an application is being made are screened on-line through the Delaware Client Information System (DCIS) against the Master Client Index of current recipients. The match criteria can be name, Social Security Number or client identification number. If any one of the applicants is or ever has been an applicant for or recipient of a benefit of the above programs, this information is displayed for the eligibility worker. The automated system will not allow any person to be an active participant in more than one AFDC case, Food Stamp case and/or Medicaid case.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:

	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT:
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DCIS Unit Director
Division of Economic Services
P.O. Box 906
New Castle, DE 19720
(302) 421-8223

DELAWARE

AGENCY: Department of Health and Social Services

NAME: Client Wage and Unemployment Compensation Check

PURPOSE: To verify earned and unearned income

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid, Refugee Cash Assistance and General Assistance

FILES: Wage and Unemployment Compensation Benefits

STATUS: Under development. Estimated completion date is September 1985.

DESCRIPTION: Each eligibility worker will be able to inquire on his or her local office Delaware Client Information System (DCIS) terminals directly into the Department of Labor's Wage and UCB files for all AFDC, Food Stamp and Medicaid applicants (and recipients.) Information available will be quarterly wage amounts earned by the applicant for the previous six calendar quarters (excluding the current quarter), the name of the applicant's employer, and the applicant's current and previous Unemployment Compensation check amounts and dates. Inquiry into the Department of Labor file will be by Social Security Number.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Barbara Paulin
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DELAWARE

AGENCY: Department of Health and Social Services

NAME: Previous Client Income and Resource Check

PURPOSE: To verify earned and unearned income and resources/assets

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid, Refugee Cash Assistance and General Assistance

FILES: AFDC, Food Stamp and Medicaid Recipients

STATUS: Operational since 1983.

DESCRIPTION: Detailed income and resource information is maintained in the Delaware Client Information System (DCIS) for all persons (both adults and children) who have previously applied for or received benefits from one of the above identified programs. This information remains on the automated system during periods of ineligibility. When the client reapplies, the last available client income and resource information is displayed on-line to the eligibility worker. The information displayed includes the names and addresses for up to two employers for each person, the last known weekly wages earned by the client from each employer, the monthly amount of and type of virtually all possible sources of unearned income and the type and amount of all client resources.

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

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(302) 421-8223

DELAWARE

AGENCY: Department of Health and Social Services

NAME: State Data Exchange Interface

PURPOSE: To verify unearned income

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid, Refugee Cash Assistance and General Assistance

FILE: State Data Exchange

STATUS: Operational since 1984.

DESCRIPTION: The State Data Exchange tapes sent to the State by the Social Security Administration (and computerized by the State) identify Supplemental Security Income (SSI) recipients. A Medicaid case in the Delaware Client Information System (DCIS) must be opened for any SSI recipients. In addition, if the client is a pending applicant for (or recipient of) Food Stamps, the DCIS will generate a turnaround document to the Food Stamp worker informing him or her of the client's SSI status.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Barbara Paulin
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(302) 421-8223

DELAWARE

AGENCY: Department of Health and Social Services

NAME: Verification of Client Information Throughout AFDC, Food Stamp and Medicaid Eligibility Processing

PURPOSE: To verify unearned income and resources/assets

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid, Refugee Cash Assistance and General Assistance

FILES: AFDC, Food Stamp and Medicaid Recipient

STATUS: Operational since 1983.

DESCRIPTION: Each client applying for a benefit in any one or more of the above identified programs is uniquely identified and can be entered into the Delaware Client Information System (DCIS) only once. All known demographic, income and resource information is entered into the DCIS and associated with the appropriate client regardless of which program gathers the information. This information is thus used by the DCIS (or displayed to the eligibility worker) in the event the client applies for benefits in another program.

This integrated AFDC, Food Stamp and Medicaid approach to client processing insures that information used to establish client eligibility is consistent across programs and that updated information is available to all programs regardless of which program receives the client's information.

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Barbara Paulin
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DELAWARE

AGENCY: Department of Health and Social Services

NAME: Verification of Client Ineligibility Periods

PURPOSE: To verify disqualifications

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid, Refugee Cash Assistance and General Assistance

FILE: Disqualifications

STATUS: Operational since 1984.

DESCRIPTION: Any client who has applied for or received a benefit in any of the programs identified above and who was subsequently determined ineligible for some period of time is identified in the Delaware Client Information System (DCIS). This would include such ineligibility periods as those arising from voluntary quit provisions, lump sum payment provisions, WIN sanction provisions, fraud disqualifications, transfer of assets provisions, etc. This information would be displayed on-line to an eligibility worker screening an applicant for any of the above programs.

PROCESSING: On-line USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS)	X (OFA, FNS)

CONTACT: Barbara Paulin
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DELAWARE

AGENCY: Department of Health and Social Services

NAME: Verification of Overpayment Status

PURPOSE: To verify overpayments

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Refugee Cash Assistance and General Assistance

FILE: Overpayments

STATUS: Operational since 1985.

DESCRIPTION: When applicants are screened, their prior AFDC or Food Stamp case participation is identified on-line by the Delaware Client Information System (DCIS). Generally, eligible applicants' former cases are re-opened. All overpayment information is associated with a specific case, is entered and maintained on the DCIS, and is retained on DCIS during periods of case ineligibility. The DCIS will display this overpayment information during the application process and will automatically reinstate recoupment when the case is re-opened. In certain circumstances, recoupment can affect an applicant's eligibility to actually receive a benefit.

PROCESSING: On-line   USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS)	X (OFA, FNS)

CONTACT: Barbara Paulin
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P.O. Box 906
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(302) 421-8223

DELAWARE

AGENCY: Department of Health and Social Services

NAME: Child Protective Services Check

PURPOSE: To verify child custody

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid, Refugee Cash Assistance and General Assistance

FILE: Child Protective Services

STATUS: Operational since 1983.

DESCRIPTION: The Delaware Child Protective Services Agency operates an automated system at the same computer center that supports the above identified programs through the Delaware Client Information System (DCIS). When applicants for the AFDC, Food Stamp or Medicaid program apply, persons who are or have been active with the Child Protective Services Agency are identified on the computer screen for the eligibility worker. This will identify children who have been removed from their parents' custody.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT:
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P.O. Box 906
New Castle, DE 19720
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DELAWARE

AGENCY: Department of Health and Social Services

NAME: Third Party Medical Insurance Verification

PURPOSE: To verify third party medical liability

PROGRAM: Medicaid

FILE: Third Party Medical Liability

STATUS: Under development. Estimated completion date is January 1986.

DESCRIPTION: Third party medical insurance information is gathered by Medicaid eligibility workers and entered into the Delaware Client Information System (DCIS) for each applicant who has insurance. This kind of information is also gathered by other Delaware agencies (e.g., Public Health clinics and other State medical facilities) and their information can be more current than the information last gathered by the Medicaid worker. These other agencies are developing an automated system that will run on the same computer as the DCIS. Their third party information will then become available to Medicaid staff both for applicants and recipients.

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	
Federal		

CONTACT: Barbara Paulin
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P.O. Box 906
New Castle, DE 19720
(302) 421-8223

DISTRICT OF COLUMBIA

AGENCY: Income Maintenance Administration

NAME: On-Line Wage and UIB Clearance Check

PURPOSE: To verify earned and unearned income

PROGRAMS: AFDC, Food Stamps (public & non-public assistance) and Medicaid

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since 1983.

DESCRIPTION: As part of D.C.'s larger front-end verification system, eligibility workers use on-line access to wage and UCB information on a front-end basis for AFDC, Food Stamp and Medicaid applicants. Workers match applicant data with wage and UCB information prior to establishing eligibility.

PROCESSING: On-line USE: Mandatory

FUNDING SOURCES:

	Development	Operation
Local	X	
State	X	X
Federal		X (OFA, FNS, HCFA)

CONTACT: Clayton W. Vickland
Office of Management Systems
Department of Human Services
Room 223
First and Eye Streets, S.W.
Washington, DC 20024
(202) 727-5041

DISTRICT OF COLUMBIA

AGENCY: Department of Human Services

NAME: Project Checkmate

PURPOSE: To verify earned and unearned income and resources/assets

PROGRAM: AFDC

FILE: Credit Bureau

STATUS: Operational as part of a Federal demonstration project begun in September 1983.

DESCRIPTION: As part of a larger demonstration project called Project Checkmate, AFDC applicants in one district office are being screened against credit bureau records. This check provides information on income, resources, bank accounts, credit balances, and employment. The worker sends applicant data to Project staff who perform an on-line check of credit bureau records. The data is then returned to the worker for use in determining eligibility.

PROCESSING: On-line

USE: Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA)	X (OFA)

CONTACT: Jackie Hill
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(202) 727-5252

DISTRICT OF COLUMBIA

AGENCY: Income Maintenance Administration

NAME: On-Line Motor Vehicle Records

PURPOSE: To verify resources/assets, residency and living arrangements

PROGRAMS: AFDC, Food Stamps (non-public assistance) and Medicaid

FILE: Motor Vehicles

STATUS: Operational since 1984.

DESCRIPTION: As part of D.C.'s larger front-end verification system, upon receipt of an AFDC, Food Stamp or Medicaid application and to verify continuing eligibility, the eligibility worker performs an on-line inquiry to access motor vehicle registration data. Workers verify name, date of birth and vehicle registration and can obtain information necessary to determine if excess resources exist. Workers use this technique to detect unreported assets for an automobile with an equity value over $1,500, to verify addresses, and to detect a possible continued living arrangement between husband and wife.

PROCESSING: On-line USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal		X (OFA, HCFA, FNS)

CONTACT: Clayton W. Vickland
Office of Management Systems
Department of Human Services
Room 223
First & Eye Streets, S.W.
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(202) 727-5041

DISTRICT OF COLUMBIA

AGENCY: Income Maintenance Administration

NAME: On-Line School Attendance Records

PURPOSE: To verify school attendance, residency, age and living arrangements

PROGRAMS: AFDC, Food Stamps (non-public assistance), Medicaid and General Assistance

FILE: Student Attendance

STATUS: Operational since 1984.

DESCRIPTION: As part of D.C.'s larger front-end verification system, when a client applies for benefits or is recertified to continue receiving benefits, the eligibility worker uses on-line access to obtain data from the District of Columbia Student Attendance Files. Workers are able to verify attendance, enrollment, residency, age, and parent/child living arrangements, for students receiving benefits under the AFDC, Food Stamp and General Assistance programs. This automated system has recently been expanded to include student transfers and withdrawals from the school system.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal		X (OFA, FNS, HCFA)

CONTACT: Clayton W. Vickland
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Room 223
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Washington, DC 20024
(202) 727-5041

DISTRICT OF COLUMBIA

AGENCY: Income Maintenance Administration

NAME: Operator Permit Records

PURPOSE: To verify residency

PROGRAMS: AFDC, Food Stamps (non-public assistance) and Medicaid

FILE: Department of Transportation

STATUS: Operational since 1984.

DESCRIPTION: Eligibility workers use computer terminals to match on-line an applicant's Social Security Number, name, address, and date of birth against the computer-based motor vehicle operator permit data. Computer screens are available which list operator permits by name and aid the worker in obtaining the Social Security Number and address of applicants to verify residency, and of an absent parent for a referral to the Child Support Enforcement Agency for appropriate action.

PROCESSING: On-line USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal		X (OFA, HCFA, FNS)

CONTACT: Clayton W. Vickland
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DISTRICT OF COLUMBIA

AGENCY: Department of Employment Services

NAME: Claim Check

PURPOSE: To prevent duplicate benefits and to verify earned income

PROGRAM: Unemployment Compensation Benefits

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since July 1982.

DESCRIPTION: When a UCB applicant comes into the local office, the worker has the option of using a computer terminal to check to see if the individual is already receiving benefits and if the individual has sufficient wage credits to qualify for UCB. Using the applicant's SSN as an identifier, the worker screens the file containing current and past beneficiaries and the wage file which contains employer-reported wages. An additional review of existing beneficiaries is done at the time the application is input. If an unexpired benefit year is in the system (i.e., if a claim has already been established), a new claim cannot be established. This second check for duplicate benefits is done via an overnight batch process.

PROCESSING: On-line and batch **USE**: Mandatory and at worker's discretion

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Frank Orlando
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Room 515
500 C Street, N.W.
Washington, DC 20001
(202) 639-1164

DISTRICT OF COLUMBIA

AGENCY: Department of Employment Services

NAME: Monetary Determination

PURPOSE: To verify earned income and work history

PROGRAM: Unemployment Compensation Benefits

FILE: Wage

STATUS: Operational since May 1982.

DESCRIPTION: After the applicant has completed an application, information from the application is keyed into a computer terminal in the local office. Each night a monetary determination is made for each applicant entered into the system that day. As part of this monetary determination, a match is made of the applicant's name and SSN with the wage file in order to determine if the individual has sufficient wage credits and work weeks. In the morning, a copy of the monetary determination is printed out and available in the local office.

PROCESSING: Batch

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Frank Orlando
Department of Employment Services
Office of Unemployment Compensation
Room 515
500 C Street, N.W.
Washington, DC 20001
(202) 639-1164

FLORIDA

AGENCY: Department of Health and Rehabilitative Services

NAME: Duplicate Benefits Check via SSN

PURPOSE: To prevent duplicate benefits

PROGRAM: Food Stamps (public and non-public assistance)

FILE: Food Stamp Recipient

STATUS: Operational since 1975.

DESCRIPTION: When data from a new Food Stamp application is first entered into the computer system in the local office, the applicant's record is automatically matched using his or her SSN and the SSNs of established recipients to determine if the applicant is currently receiving benefits. This check prevents the issuance of duplicate benefits.

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (FNS)	X (FNS)

CONTACT: Rodney McInnis
Human Services Program Analyst
Building 5, Room 100
1311 Winewood Boulevard
Tallahasse, FL 32301
(904) 487-1870

FLORIDA

ACENCY: Department of Health and Rehabilitation Services

NAME: Duplicate Benefits Check

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC

FILES: AFDC Recipient

STATUS: Under development. Estimated completion date is April 1986.

DESCRIPTION: In each local office, the applicant's name, Social Security Number and date of birth will be matched against the current AFDC recipient file. All matches will be displayed on a video screen. If an open case already exists, information regarding the open case will be displayed. Thus, the eligibility specialist will have information available at application which will prevent duplication of payment.

PROCESSING: On-line

USE: Not available

FUNDING SOURCES:

	Development	Operation
Local		
State	X	
Federal	X (OFA)	

CONTACT: John Hall
Department of Health & Rehabilitation Services
1317 Winewood Boulevard
Tallahassee, FL 32301
(904) 487-2966

READEX FICHE

FLORIDA

AGENCY: Division of Unemployment Compensation

NAME: Previous Valid Claim Check

PURPOSE: To prevent duplicate benefits

PROGRAM: Unemployment Compensation Benefits

FILE: Unemployment Compensation Benefits

STATUS: Operational since at least 1964.

DESCRIPTION: When a UCB application is filed, the application is checked against the current and previous UCB claim file to insure that the individual is not filing a duplicate claim. The application is terminally inputted in the local office and batch processed overnight. If the match reveals that a claim has already been filed, a printout will be produced and an investigation will be made to determine why the duplicate claim was filed.

PROCESSING: Batch **USE:** Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (ETA)	X (ETA)

CONTACT: Talmadge O. Harrison
Chief, Bureau of Claims and Benefits
Room 208, Caldwell Building
Tallahassee, FL 32301
(904) 488-0753 or 488-3340

FLORIDA

AGENCY: Division of Unemployment Compensation

NAME: Fraud Stop Orders and Labor Dispute Stop Orders

PURPOSE: To verify disqualifications

PROGRAM: Unemployment Compensation Benefits

FILE: Disqualifications

STATUS: Operational since 1969.

DESCRIPTION: Information on individuals who are known to have been involved in labor disputes and who have committed benefit fraud is stored in the claim history file. When an individual applies for UCB, workers perform an on-line match between this data and applicant data automatically when they enter data from a new application. Positive hits generate flags which prevent any payments from being made until the issue is resolved.

PROCESSING: On-line USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Talmadge O. Harrison
Chief, Bureau of Claims and Benefits
Room 208, Caldwell Building
Tallahassee, FL 32301
(904) 488-0753 or 488-3540

GEORGIA

AGENCY: Department of Family and Children Services

NAME: Duplicate Benefits Check

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILES: AFDC, Food Stamp and Medicaid Recipient

STATUS: Operational various lengths of time depending upon county. Begun in first county in 1981.

DESCRIPTION: At the time of application, the eligibility worker does an on-line check of the current recipient data base to detect any duplicate benefits. In addition, this match is also run during the batch processing of the application which occurs immediately prior to payment. Results are received prior to eligibility certification. This batch match also accesses statewide records of closed benefit cases. The duplicate benefits check is part of Georgia's larger Public Assistance Reporting System (PARIS) designed to collect, store and generate information utilized by the AFDC, Food Stamps and Medicaid programs.

PROCESSING: On-line and batch **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Jeanne Pruitt
Director, Management Information Systems
47 Trinity Avenue
Atlanta, GA
(404) 656-3085

GEORGIA

AGENCY: Department of Labor

NAME: Entry of Initial Unemployment Insurance Claim

PURPOSE: To prevent duplicate benefits and to verify earned income

PROGRAM: Unemployment Compensation Benefits

FILE: Unemployment Compensation Benefits

STATUS: Operational since 1975.

DESCRIPTION: When a UCB applicant files a claim, his or her applicant information is entered into the computer system. Each night the applicant information is matched against the existing UCB claim file, via batch processing. If another claim already exists for the same SSN, the system rejects the new application and a transfer notice is produced, copies of which are sent to the office filing the claim and the office where the current claim exists. If there is no record of a claim on file, a monetary determination is done. In this process, the applicant's SSN is matched against the employer-reported wage records to determine if sufficient wage credits exist. The results are mailed to the office where the application was filed.

PROCESSING: Batch

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Tom Lowe
Director, Unemployment Insurance
State Labor Building, Room 672
254 Washington Street, S.W.
Atlanta, GA 30334
(404) 656-3050

GEORGIA

AGENCY: Department of Labor

NAME: Preliminary Monetary Determination

PURPOSE: To verify earned income and work history

PROGRAM: Unemployment Compensation Benefits

FILE: Wage

STATUS: Operational since 1975.

DESCRIPTION: When a UCB applicant comes into a local office, his or her SSN is entered into a computer terminal. As a result, a preliminary monetary determination appears on the computer screen (and can also be printed). The preliminary monetary determination shows whether the applicant has sufficient wage credits, and the wages for each employer. The printout can be used to determine if sufficient wage credits exist to qualify for UCB, if any wages are missing, if wages are incorrectly reported or if reported wages belong to other claimants.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT:
Tom Lowe
Director, Unemployment Insurance
State Labor Building, Room 672
254 Washington Street, S.W.
Atlanta, GA 30334
(404) 656-3050

HAWAII

AGENCY: Department of Social Services and Housing

NAME: Duplicate Benefits Check

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILES: AFDC, Food Stamp and Medicaid Recipient

STATUS: Operational since 1975.

DESCRIPTION: When an AFDC, Food Stamp, or Medicaid applicant first applies for benefits, a check for existing benefits is performed by doing an on-line match of applicant data with the file of recipients. If the computer match shows benefit duplication, the applicant is notified and no benefits are paid. If not duplicated, applicant information is entered into the computer and a file is established for processing.

PROCESSING: On-line **USE:** Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Helen Onoye, Program Administrator
Income Maintenance Services
Department of Social Services and Housing
P.O. Box 339
Honolulu, HI 96809
(808) 548-5904

HAWAII

AGENCY: Department of Social Services and Housing

NAME: UCB Check

PURPOSE: To verify unearned income

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILE: Unemployment Compensation Benefits

STATUS: Operational since 1975.

DESCRIPTION: While in applicant status, an on-line match of Unemployment Compensation Beneficiaries is performed for AFDC, Food Stamp and Medicaid applicants. This match is done before the applicant comes into the office; a copy of the results is given to the worker for the interview. The check detects unreported Unemployment Compensation Benefits.

PROCESSING: On-line **USE**: Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Helen Onoye, Program Administrator
Income Maintenance Services
Department of Social Services and Housing
P.O. Box 339
Honolulu, HI 96809
(808) 548-5904

HAWAII

AGENCY: Department of Social Services and Housing

NAME: Division of Motor Vehicles Match

PURPOSE: To verify resources/assets

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILE: Motor Vehicles

STATUS: Operational since June 1985.

DESCRIPTION: Upon receipt of an AFDC, Food Stamp or Medicaid application and at periodic intervals, the eligibility worker makes an on-line inquiry to access State Division of Motor Vehicle files. A screen print is called up of all data available on each applicant that is also on the Division of Motor Vehicles file. This information is used to determine if excess resources exist.

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Helen Onoye, Program Administrator
Income Maintenance Services
Department of Social Services and Housing
P.O. Box 339
Honolulu, HI 96809
(808) 548-5904

HAWAII

AGENCY: Department of Social Services and Housing

NAME: Persons Disqualified

PURPOSE: To verify disqualifications

PROGRAM: Food Stamps (public and non-public assistance)

FILE: Disqualifications

STATUS: Operational since February 1985.

DESCRIPTION: Each Food Stamp applicant's name and Social Security Number is checked against a listing of disqualifications. This verifies whether the applicant is already disqualified due to a past Intentional Program Violation (IPV).

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:

	Development	Operation
Local		
State	X	X
Federal	X (FNS)	X (FNS)

CONTACT: Helen Onoye, Program Administrator
Income Maintenance Services
Department of Social Services and Housing
P.O. Box 339
Honolulu, HI 96809
(808) 548-5904

HAWAII

AGENCY: Department of Social Services and Housing

NAME: Persons Previously Overpaid

PURPOSE: To verify overpayments

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILE: Overpayments

STATUS: Operational since February 1985.

DESCRIPTION: Each applicant for AFDC, Food Stamps, and Medicaid is checked against a file of prior overpayments. This process detects overpayments which must be recouped.

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Helen Onoye, Program Administrator
Income Maintenance Services
Department of Social Services and Housing
P.O. Box 339
Honolulu, HI 96809
(808) 548-5904

IDAHO

AGENCY: Department of Health and Welfare

NAME: Prior Contact Check

PURPOSE: To prevent duplicate benefits, and to verify overpayments and disqualifications

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid, SSI State Supplemental, Child Support Enforcement and Refugee Resettlement

FILES: AFDC, Medicaid, Food Stamp Recipient, Disqualifications and Overpayments

STATUS: Under development. Estimated completion date is January 1986.

DESCRIPTION: When a client makes application for AFDC, Food Stamps or Medicaid, an on-line match of applicant data will be made against the client index, which consists of active and closed cases. In addition, batch processing of all applicants will occur nightly. Individuals currently receiving benefits will be identified as well as those who have been disqualified or overpaid. The worker will receive a prior contact check report for proper action.

PROCESSING: On-line and batch **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	
Federal	X (HCFA, FNS, OFA)	

CONTACT: Larry Tippets
Development Manager
450 West State Street
Boise, Idaho 83720
(208) 334-5344

IDAHO

AGENCY: Department of Health and Welfare

NAME: State Data Exchange

PURPOSE: To verify unearned income

PROGRAMS: Food Stamps (public and non-public assistance), Medicaid and SSI State Supplemental (SSP)

FILE: State Data Exchange

STATUS: Under development. Estimated completion date is January 1986.

DESCRIPTION: Each applicant's name, date of birth, SSN, and claim number will be matched to the corresponding information in the State Data Exchange (SDX) tape received from SSA. (This tape contains a listing of all Supplemental Security Income recipients in the State). The State will computerize the SDX tape, creating a data base that workers will be able to access on-line.

PROCESSING: On-line **USE:** Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	
Federal	X (HCFA, FNS)	

CONTACT:
Marge McCoy
Systems Analyst
450 West State Street
Boise, Idaho 83720
(208) 334-4345

IDAHO

AGENCY: Department of Health and Welfare

NAME: Persons Disqualified from Food Stamp Program due to Intentional Program Violation (IPV)

PURPOSE: To verify disqualifications

PROGRAM: Food Stamps (public and non-public assistance)

FILE: Disqualifications

STATUS: Under development. Estimated completion date of January 1986.

DESCRIPTION: Each Food Stamp applicant's name and SSN will be matched against the file of names and SSNs of persons who have committed intentional Food Stamp program violations. The applicants will be matched using batch processing. All applicants in a disqualification period will be denied.

PROCESSING: Batch USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	
Federal	X (FNS)	

CONTACT: Donna Lawrance
Welfare Eligibility Specialist
450 West State Street
Boise, Idaho 83720
(208) 334-4337

IDAHO

AGENCY: Department of Employment

NAME: U.I. Benefit Administration, Statistical and Information System (BASIS)

PURPOSE: To prevent duplicate benefits, and to verify earned income, work history, disqualifications and overpayments

PROGRAM: Unemployment Compensation Benefits

FILES: Wage, Unemployment Compensation Benefits, Disqualifications and Overpayments

STATUS: Operational since August 1985.

DESCRIPTION: In the first step of this technique, the applicant's SSN is entered into the computer system and matched against existing UCB claims, disqualifications and overpayments. If none exist, then a monetary determination is performed by the computer. As part of this monetary determination, the computer matches the applicant's SSN against the wage file in order to determine if sufficient wage credits and work weeks exist.

PROCESSING: On-line **USE:** Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (ETA)	X (ETA)

CONTACT:
Wilma Strohmeier
Chief of MIS
Idaho Department of Employment
317 Main Street
Boise, Idaho 83735
(208) 334-2670

ILLINOIS

AGENCY: Department of Public Aid

NAME: Applicant Verification

PURPOSE: To prevent duplicate benefits, and to verify earned and unearned income and work history

PROGRAMS: AFDC, Food Stamps (public & non-public assistance) and Medicaid

FILES: Wage, Unemployment Insurance Benefits, AFDC, Food Stamps, and Medicaid Recipient

STATUS: Operational since 1974.

DESCRIPTION: Using applicant data, the eligibility worker uses a terminal to access three separately maintained data bases: the Department of Public Aid file of established AFDC, Food Stamps, and Medicaid cases; the wage history file; and the UCB file. The worker verifies that the applicant is not receiving duplicate benefits, is not earning unreported wages, and is not receiving UCB.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT:
Norman L. Ryan
General Services Administrator
Department of Public Aid
316 South 2nd Street
Springfield, IL 62762
(217) 785-1781

ILLINOIS

AGENCY: Bureau of Employment Security

NAME: Duplicate Claim Checking

PURPOSE: To prevent duplicate benefits

PROGRAM: Unemployment Compensation Benefits

FILE: Unemployment Compensation Benefits

STATUS: Operational since 1975.

DESCRIPTION: Prior to paying a UCB claim, the claimant data base is checked to insure a new claimant hasn't already established a claim. Applications are sent from the local office to a central processing location every night, where the SSNs of new applicants (which have been entered into the claimant data base already) are screened against that part of the claimant data base listing existing cases and their benefit years. A notice of the outcome of the screen is sent to both the applicant and the local office.

PROCESSING: Batch   **USE**: Not available

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Dennis Page
Manager, MIS/Systems & Procedures Subdivision
910 South Michigan
Room 1004
Chicago, IL 60605
(312) 793-4580

ILLINOIS

AGENCY: Bureau of Employment Security

NAME: Claimant Dependency

PURPOSE: To prevent duplicate benefits

PROGRAM: Unemployment Compensation Benefits

FILE: Unemployment Compensation Benefits

STATUS: Operational since 1975.

DESCRIPTION: This technique prevents an applicant from claiming a spouse as a dependent when that spouse is already receiving UCB in his or her own name. Applications are sent from the local office to a central processing location daily where the SSN of the dependent spouse is batch matched against the claimant data base listing of current cases. Error reports listing hits are generated the next day and returned to the local office.

PROCESSING: Batch **USE:** Not available

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Dennis Page
Manager, MIS/Systems & Procedures Subdivision
910 South Michigan
Room 1004
Chicago, IL 60605
(312) 793-4580

ILLINOIS

AGENCY: Bureau of Employment Security

NAME: Determine Monetary Eligibility

PURPOSE: To verify earned income and work history

PROGRAM: Unemployment Compensation Benefits

FILE: Wage

STATUS: Operational since 1975.

DESCRIPTION: When an individual files a UCB claim, wage information is read by the computer from the wage data base and a monetary determination is initiated. If the determination reveals that the applicant has insufficient wages or work weeks, an "ineligible" claim is placed on the claimant data base and the claimant is notified. All local offices have computer terminals with which eligibility workers enter new applicant data. This data is batch-processed centrally overnight and a notice of eligibility is sent to each applicant the next day.

PROCESSING: Batch

USE: Not available

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Dennis Page
Manager, MIS/Systems & Procedures Subdivision
910 South Michigan
Room 1004
Chicago, IL 60605
(312) 793-4580

INDIANA

AGENCY: Department of Public Welfare

NAME: DPW 95/Social Security Number Cross Match

PURPOSE: To verify earned and unearned income and work history

PROGRAMS: AFDC, Food Stamps (public & non-public assistance) and Medicaid

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since 1981.

DESCRIPTION: At the time of application, the eligibility worker completes Form DPW 95 with the names and SSNs of persons included in the application who are or could be receiving wages or unemployment benefits. The completed form is submitted to the State Employment Security Division for a computer match with wage and UCB files. Match results are returned to local offices usually within five days and are used for eligibility verification. The match is performed on an as-requested basis. Requests range from 4,000 to 8,000 a week statewide.

PROCESSING: Batch **USE:** At worker's discretion

FUNDING SOURCES:

	Development	Operation
Local		
State	X	X
Federal		X (OFA, FNS, HCFA)

CONTACT: Robert Igney
AFDC Policy Supervisor
State Department of Public Welfare
100 North Senate, Room 703
Indianapolis, IN 46204
(317) 232-4913

INDIANA

AGENCY: Employment Security Division

NAME: Duplicate Benefits Check

PURPOSE: To prevent duplicate benefits

PROGRAM: Unemployment Compensation Benefits

FILE: Unemployment Compensation Benefits

STATUS: Operational since 1970.

DESCRIPTION: When an individual files an initial claim, the Social Security Number (SSN) is matched against the claim file. If another claim exists for that SSN, the new claim is rejected and is returned to the local office for SSN verification.

PROCESSING: Batch USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Alan R. Diodore
Assistant Director - UI
10 North Senate Avenue
Indianapolis, IN 46204
(317) 232-7673

INDIANA

AGENCY: Employment Security Division

NAME: Initial Claim

PURPOSE: To prevent duplicate benefits

PROGRAM: Unemployment Insurance

FILE: Wage

STATUS: Operational since 1972.

DESCRIPTION: When an individual files a combined wage claim and wages are transferred to another State for purposes of filing a claim there, those wages are "flagged" on the wage file to prevent their re-use on a subsequent claim.

PROCESSING: Batch **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Alan R. Diodore
Assistant Director - UI
10 North Senate Avenue
Indianapolis, IN 46204
(317) 232-7673

INDIANA

AGENCY: Employment Security Division

NAME: Monetary Determination

PURPOSE: To verify earned income

PROGRAM: Unemployment Compensation Benefits

FILE: Wage

STATUS: Operational since 1970.

DESCRIPTION: When an individual files an initial claim, the Social Security Number is run against the wage file to ensure that the individual has sufficient wage credits and is monetarily eligible. This process generates the monetary determination.

PROCESSING: Batch   **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Alan R. Diodore
Assistant Director - UI
10 North Senate Avenue
Indianapolis, IN 46204
(317) 232-7673

INDIANA

AGENCY: Employment Security Division

NAME: Dependency Check

PURPOSE: To verify dependency

PROGRAM: Unemployment Compensation Benefits

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since 1970.

DESCRIPTION: Under State law, a spouse can be claimed as a dependent to increase the weekly benefit amount if, among other things, the spouse is not employed and would have no monetary eligibility for his or her own claim. If a spouse is claimed, the spouse's Social Security Number is matched against both the wage and benefit files to ensure that: 1) the spouse does not have his or her own claim; and 2) the spouse does not have wage credits sufficient to establish his or her own claim.

PROCESSING: Batch

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT:
Alan R. Diodore
Assistant Director - UI
10 North Senate Avenue
Indianapolis, IN 46204
(317) 232-7673

IOWA

AGENCY: Department of Human Services

NAME: State Identification System

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILES: AFDC, Food Stamp and Medicaid Recipient

STATUS: Operational since 1975.

DESCRIPTION: When an application is being processed, recipient files are checked by the local office so that if an individual has already been assigned an identification number, that number is used. Individuals are identified by Social Security Number, name, birthdate and sex. This process stops individuals from receiving duplicate benefits under multiple identification numbers.

PROCESSING: On-line **USE:** Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Ray Camp, Chief
Bureau of Management Information
Department of Human Services
Hoover Building, 1st Floor, North
Des Moines, IA 50319
(515) 281-8708

IOWA

AGENCY: Department of Human Services

NAME: Automated Benefit Calculation System Screens

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILES: AFDC, Food Stamp and Medicaid Recipient

STATUS: Operational since 1984.

DESCRIPTION: Once an applicant receives a State Identification Number, the local office inputs information on the programs that the application covers. The computer checks this to insure that the specified individual is not already active in any of the programs. If the application would result in the duplication of existing benefits, the system will not process it.

PROCESSING: Not available **USE:** Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, HCFA, FNS)

CONTACT:
Ray Camp, Chief
Bureau of Management Information
Department of Human Services
Hoover Building, 1st Floor, North
Des Moines, IA 50319
(515) 281-8708

IOWA

AGENCY: Department of Human Services

NAME: State Data Exchange

PURPOSE: To verify unearned income

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILE: State Data Exchange

STATUS: Operational since 1975.

DESCRIPTION: The Social Security Administration sends State Data Exchange tapes to the State which list all Supplemental Security Income (SSI) recipients in Iowa. This information is computerized on receipt and is available to workers both on-line and on paper documents, so that they may verify whether an applicant is receiving Supplemental Security Income.

PROCESSING: Not available **USE:** Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, HCFA, FNS)	X (OFA, HCFA, FNS)

CONTACT: Ray Camp, Chief
Bureau of Management Information
Department of Human Services
Hoover Building, 1st Floor, North
Des Moines, IA 50319
(515) 281-8708

IOWA

AGENCY: Department of Human Services

NAME: Intentional Program Violation Disqualification

PURPOSE: To verify disqualifications

PROGRAM: Food Stamps (public and non-public assistance)

FILE: Disqualifications

STATUS: Operational since 1984.

DESCRIPTION: Once an individual has been disqualified from the Food Stamp program, the individual's record is coded to show when the disqualification will end. If they try to reapply during the disqualification period, the computer system will not process the application.

PROCESSING: Not available USE: Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (FNS)	X (FNS)

CONTACT: Ray Camp, Chief
Bureau of Management Information
Department of Human Services
Hoover Building, 1st Floor, North
Des Moines, IA 50319
(515) 281-8708

IOWA

AGENCY: Department of Job Service

NAME: Unemployment Insurance Claim

PURPOSE: To prevent duplicate benefits, and to verify earned income and work history

PROGRAM: Unemployment Compensation Benefits

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since 1972.

DESCRIPTION: When the applicant completes a claim for UCB, the information is entered into the computer system at the local office. The claim is immediately processed by computer and a transcript of the claimant's wages (by employer), previous claims activity and a determination is printed at the local office and given to the claimant. This technique is used on all claimants to verify wages, establish sufficient work weeks, and prevent duplicate benefits.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: John Haila
Data Processing Administrator
1000 East Grand Avenue
Des Moines, IA 50319
(515) 281-4969

KANSAS

AGENCY: Department of Human Resources

NAME: Monetary Pre-Determination

PURPOSE: To verify earned income and work history

PROGRAM: Unemployment Compensation Benefits

FILE: Wage

STATUS: Operational since 1974.

DESCRIPTION: When a claimant files for UCB, workers use on-line access to search the wage file. If wages were reported for the claimant, a pre-determination is made based on this information and a check for sufficient wages and work weeks is done. If wages reported by the employer differ from claimant submitted data, errors can be adjusted immediately.

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: W. V. Clawson
Chief of Benefits
Department of Human Resources
Division of Employment Security
401 Topeka Avenue
Topeka, KS 66603
(913) 296-5074

KENTUCKY

AGENCY: Department for Social Insurance

NAME: Screening for Duplicate Social Security Numbers

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC and Medicaid

FILES: AFDC and Medicaid Recipient

STATUS: Operational since 1978.

DESCRIPTION: To check for duplicate benefits, an applicant's SSN is matched against the SSNs of AFDC and Medicaid recipients already on file. When a positive match occurs, the eligibility worker must resolve the issue before proceeding. This match is performed at two different stages: An on-line check is run on an applicant's SSN soon after the applicant first contacts the local office. Later a batch match is run automatically after the applicant has been certified as eligible but prior to receiving benefits.

PROCESSING: On-line and batch **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		X
Federal	X (OFA)	X (OFA)

CONTACT: David Oliver
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275 East Main Street
Frankfort, KY 40621
(502) 564-3556

KENTUCKY

AGENCY: Department for Social Insurance

NAME: Disqualification File/Duplicate Participation Check

PURPOSE: To prevent duplicate benefits and to verify disqualifications

PROGRAM: Food Stamps (public & non-public assistance)

FILES: Food Stamp Recipient and Disqualifications

STATUS: Operational since 1978.

DESCRIPTION: When an applicant files to receive Food Stamps, the eligibility worker enters the applicant's SSN into the computer which automatically runs a match between the entered SSN and two data bases: existing Food Stamp cases and a file of disqualified individuals. This technique is used to ensure that a household does not receive duplicate benefits and that the household is not barred from program participation.

PROCESSING: Not available **USE:** Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (FNS)	X (FNS)

CONTACT: Ron Kelien
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Cabinet for Human Resources
Department for Social Insurance - Field Services
6th Floor East
Frankfort, KY 40621
(502) 564-7514

LOUISIANA

AGENCY: Department of Health and Human Resources

NAME: Client Clearance and State Identification Number Issuance System (CLIENT)

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (public & non-public assistance), Medicaid, General Assistance, Refugee Assistance, Child Support Enforcement, Vocational Rehabilitation, Social Services, Foster Care, Day Care, Mental Retardation/Developmental Disabilities, Family Planning, Blind Services, Recovery Accounts, Pending Referrals, Maternal and Child Care

FILES: AFDC, Food Stamps and Medicaid Recipient

STATUS: Under development. Estimated completion date is January 1986.

DESCRIPTION: The CLIENT System will provide a computerized file of data on all individuals receiving services provided by the Department of Health and Human Resources; it will also be a computer validation system which eliminates duplication of records. When an applicant makes a request for services or benefits, the eligibility worker will do a duplicate benefits check through CLIENT to determine whether or not the applicant is currently receiving any assistance. The case data for the relevant programs will be accessed to assist with eligibility verification for the program for which the applicant is currently applying.

PROCESSING: On-line **USE:** At worker's discretion

FUNDING SOURCES:	Development	Operation
Local		
State	X	
Federal		

CONTACT: Howard Polk
Lead Program Analyst
Information Services
5th Floor
755 Riverside North
Baton Rouge, LA 70806
(504) 342-0322

LOUISIANA

AGENCY: Department of Labor

NAME: Processing of Separation Notices

PURPOSE: To verify disqualifications

PROGRAM: Unemployment Compensation Benefits

FILE: Disqualifications

STATUS: Operational since 1972.

DESCRIPTION: If an individual is separated from employment for a potentially disqualifying reason under the Unemployment Compensation Benefits program, the employer completes a Form LDOL-ES 77 and returns it to the Louisiana Department of Labor. The form is microfilmed and keypunched. Some of the data from the form is maintained on the computer system and is available on terminals located in local offices. When an individual files for UCB, the local office must query this file prior to paying benefits. This mandatory inquiry only tells the worker if a separation notice alleging disqualification has been filed by an employer. The microfilm must be accessed and copied (manually) in order for the worker to learn the reason for separation.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local	Not available	
State		
Federal		X (ETA)

CONTACT: Wanda Matessino
U.I. Technical Supervisor
P.O. Box 44094
Baton Rouge, LA 70804
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MAINE

AGENCY: Department of Income Maintenance

NAME: Automatic Checks/Edits -- Duplicate Records

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (public & non-public assistance), Medicaid, Social Services and Vocational Rehabilitation

FILES: AFDC, Food Stamp and Medicaid Recipient

STATUS: Operational since 1975.

DESCRIPTION: This technique is used to determine if applicants are already receiving benefits. When new applications are added to the client master file, the computer checks the names/sex/date of birth file for possible duplicates. The computer also checks applicants' SSNs against the SSN cross reference file. Edits in the system preclude duplicate benefits being awarded.

PROCESSING: Not available **USE:** At worker's discretion

FUNDING SOURCES:	Development	Operation
Local	Not	
State	available	X
Federal		X (FNS, OFA, HCFA)

CONTACT: Thomas W. Eldridge
Chief Systems Analyst
Department of Human Services
Division of Data Processing, Station #11
221 State Street
Augusta, ME 04333
(207) 289-3864

MAINE

AGENCY: Department of Income Maintenance

NAME: Worker Generated Computerized Request -- Wage, Unemployment Records

PURPOSE: To verify earned and unearned income and work history

PROGRAMS: AFDC, Food Stamps (public & non-public assistance) and Medicaid

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since 1979.

DESCRIPTION: Workers input an AFDC, Food Stamp, or Medicaid applicant's name and SSN into a computer terminal. All entries are compiled into a tape which is matched by batch processing against the Department of Employment Security's wage and UCB files weekly. Hits result in quarterly wage data and unemployment benefit history being generated on single sheets for worker review. Discrepancies between the wage and UCB files and applicant-reported income are pursued by workers until resolved.

PROCESSING: Batch

USE: At worker's discretion

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Thomas W. Eldridge
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MAINE

AGENCY: Department of Income Maintenance

NAME: Worker Generated Computerized Request -- Motor Vehicle Records

PURPOSE: To verify resources/assets

PROGRAMS: AFDC, Food Stamps (public & non-public assistance) and Medicaid

FILE: Motor Vehicle

STATUS: Operational since 1975.

DESCRIPTION: Workers match an AFDC, Food Stamps, and Medicaid applicant's name and date of birth against a computerized listing of State motor vehicle registrations via on-line access to computer files. Discrepancies between the application and the motor vehicle file are pursued by the worker until resolved. This technique is used at the worker's discretion and can be done before or after payments begin. It is designed to detect unreported assets such as automobiles.

PROCESSING: On-line **USE:** At worker's discretion

FUNDING SOURCES:	Development	Operation
Local		
State		X
Federal		X (OFA, FNS, HCFA)

CONTACT: Thomas W. Eldridge
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MAINE

AGENCY: Bureau of Employment Security

NAME: Unemployment Insurance Initial Claim Process

PURPOSE: To prevent duplicate benefits, and to verify earned income, work history and work registration

PROGRAM: Unemployment Compensation Benefits

FILES: Unemployment Compensation Benefits, Wage and Job Service Registration

STATUS: Operational since 1981.

DESCRIPTION: When a claimant applies for benefits in a local office, the eligibility worker enters data from the application into the computer to match the claimant's reported wages against the wage data base reported quarterly by employers. A formal determination is made. Workers only have screen viewing capability; printed copies of the determination are not available immediately. However, printouts are generated overnight during batch processing and distributed to claimants and local offices. In addition, a match of applicant data is made with the file of existing UCB claimants to detect duplicate benefits, and a check is made against the Job Service registration file to insure that applicants have met the prerequisite of registering.

PROCESSING: On-line and batch

USE: Mandatory and at worker's discretion

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Steve Campana
Automation Coordinator
Maine Department of Labor
Office of Management Systems & Information
20 Union Street
Augusta, ME 04330
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MARYLAND

AGENCY: Department of Human Resources

NAME: Wage Match

PURPOSE: To verify earned and unearned income and work history

PROGRAMS: AFDC and Food Stamps (public & non-public assistance)

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since 1974.

DESCRIPTION: The State of Maryland requires eligibility workers to perform a wage match for all AFDC applicants and for any potential wage earners who are Food Stamp applicants. All local offices have computer terminals and printers for on-line access to the State Department of Employment and Training data base containing wage and UCB information on Maryland residents. At the time of application, the eligibility worker performs an on-line match of AFDC and Food Stamp applicant data with wage and UCB files. The worker can use the match results as an indication of past wages and Unemployment Compensation Benefits.

PROCESSING: On-line USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA)	X (OFA, FNS)

CONTACT: Bud Simon
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Department of Human Resources
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Baltimore, MD 21201
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MARYLAND

AGENCY: Department of Human Resources

NAME: Automated Master File (AMF) Screening

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC and Food Stamps (public & non-public assistance) and Medicaid

FILES: AFDC, Food Stamp and Medicaid Recipients

STATUS: Under development. Estimated date of completion is 1987. (System is currently being tested in one county. When completed, it will be implemented statewide.)

DESCRIPTION: The Automated Master File (AMF) is one module of a major Client Information System being developed to assist local departments in the management of their programs through automation. The AMF will replace the present manual master file function with a fully automated on-line system. It is designed to be an automated index of all individuals receiving benefits from the Department of Human Resources. Eligibility workers will perform a search of the data base for applicants via name, alias, address or case number to prevent duplicate participation. The search may be statewide or limited by local department, case type, sex, and/or race.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	
Federal	X (OFA, HCFA)	

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MASSACHUSETTS

AGENCY: Division of Employment Security

NAME: Initial Claim Verification

PURPOSE: To prevent duplicate benefits, and to verify liable employers and overpayments

PROGRAMS: Unemployment Compensation Benefits

FILES: Unemployment Compensation Benefits, Liable Employers and Overpayments

STATUS: Operational since July 1984.

DESCRIPTION: At the time of application, applicant data is matched with data on existing UCB claimants, and previous overpayments. These matches help prevent payment of duplicate benefits and benefits being paid to individuals who have been previously overpaid. The names of the applicant's employers are also matched against a file listing all employers in the State who are liable for participation in the Unemployment Insurance program in order to verify that the employer is liable for paying UCB.

PROCESSING: Not available **USE:** Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (ETA)	X (ETA)

CONTACT: Richard Burke
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MICHIGAN

AGENCY: Department of Social Services

NAME: Client Information System Duplicate Benefits Check

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILES: AFDC, Food Stamps and Medicaid Recipient

STATUS: Operational since 1975.

DESCRIPTION: An on-line match of applicant name and Social Security Number is made with a client index of prior and current recipients. If the applicant has received benefits in the past, his or her historical file is brought up on the screen. The historical file can also be used to verify a variety of eligibility items such as income, family size, etc. If the applicant is currently receiving benefits, the match will disclose this and the case worker must provide positive action to override instances where Social Security Numbers, names and dates of birth are an issue prior to opening a case.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT:
Russell A. Hendrick
Director, Office of Inspector General
P.O. Box 12158
Lansing, MI 48901
(517) 373-8485

MICHIGAN

AGENCY: Department of Social Services

NAME: DSS/Secretary of State Terminal Project

PURPOSE: To verify resources/assets

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid and General Assistance

FILE: Secretary of State Motor Vehicle Ownership

STATUS: Operational since 1982.

DESCRIPTION: This technique is used in 44 local offices. All 32 offices in Wayne County have terminals; the remaining terminals are located in the larger offices. When an applicant comes into a local office, a terminal operator queries the Secretary of State's motor vehicle ownership file to see if the applicant has any undeclared vehicles. If a hit occurs, it is printed out and the caseworker reviews the case.

PROCESSING: On-line

USE: At worker's discretion

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT:

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Field Services Administration
Department of Social Services
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Lansing, MI 48909
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MICHIGAN

AGENCY: Employment Security Commission

NAME: Claimant Status Verification

PURPOSE: To prevent duplicate benefits and to verify disqualifications and overpayments

PROGRAM: Unemployment Compensation Benefits

FILES: Unemployment Compensation Benefits, Disqualifications and Overpayments

STATUS: Operational statewide since July 1984.

DESCRIPTION: Michigan has a distributed processing system made up of eight area processors, each containing its own data base. When a new UCB claim is entered into the system, the area processor checks with the central processor to determine if the claimant has a claim in another office and if an existing claim overlaps the period covered by the new claim. If an overlapping claim is found, the new claim is automatically rejected.

This technique is also used to determine if there are any stop pay orders in the data base for a claimant's SSN. These prevent payment to individuals who were previously disqualified or overpaid.

PROCESSING: On-line

USE: Not available

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Robert E. Edwards
Director, Controller Division
Michigan Employment Security Commission
7310 Woodward Avenue
Detroit, MI 48202
(313) 876-5190

MICHIGAN

AGENCY: Employment Security Commission

NAME: Employer Liability Verification

PURPOSE: To verify employer liability

PROGRAM: Unemployment Compensation Benefits

FILE: Liable Employer

STATUS: Operational since April 1984.

DESCRIPTION: When a UCB claimant comes into the local office, a worker uses on-line computer access to compare listings of liable employers (in the data base) with the claimant's stated employers. Workers also compare the claimant's stated dates of employment with the liability dates of the employers in the data base. This query tells the worker if the claimant has been employed by an employer liable for Unemployment Insurance taxes in the State of Michigan. If the employer is liable, the worker submits a wage request to the employer.

PROCESSING: On-line

USE: Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	
Federal	X (ETA)	X (ETA)

CONTACT: Robert E. Edwards
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Michigan Employment Security Commission
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Detroit, MI 48202
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MISSISSIPPI

AGENCY: Department of Public Welfare

NAME: Mississippi Application Verification Eligibility Reporting Information Control System (MAVERICS)

PURPOSE: To prevent duplicate benefits, and to verify identity, earned and unearned income, resources/assets, work history, relationship and residency

PROGRAMS: AFDC, Food Stamps (public & non-public assistance)

FILES: AFDC and Food Stamp Recipient, Wage, Unemployment Compensation Benefits, Division of Motor Vehicles

STATUS: Under development. Estimated completion date is February 1987.

DESCRIPTION: MAVERICS, once implemented, will employ a variety of data base interfaces to provide eligibility-related data to the worker before an applicant is certified as eligible to receive benefits. Some of the matches will be on-line, and some matches will involve batch processing. The State data bases of AFDC, Food Stamps, and Medicaid recipients will be checked for duplicate benefits, the Division of Motor Vehicles for unreported assets such as automobiles, and the Employment Security Department UCB and wage files for receipt of UCB and wages. Most of these sources will be matched on-line. Wage data from neighboring States will be accessed via batch processing.

PROCESSING: On-line and batch **USE:** Not available

FUNDING SOURCES:

	Development	Operation
Local		
State	X	
Federal	X (OFA, FNS)	

CONTACT: Judith Michael
Project Director
Department of Public Welfare
P.O. Box 352
Jackson, MS 39205
(601) 354-0341, Ext. 507

MISSISSIPPI

AGENCY: Employment Security Commission

NAME: Monetary Eligibility for Unemployment Compensation Benefits

PURPOSE: To prevent duplicate benefits and to verify earned income and work history

PROGRAM: Unemployment Compensation Benefits

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since 1976.

DESCRIPTION: This technique determines the applicant's monetary eligibility by accessing the base period wage file. Each claims center has a computer terminal to access wages on-line to determine if sufficient wages in the base period exist to qualify the applicant for benefits monetarily. The applicant can immediately detect errors in wages or employers. A claim cannot be established if there is an existing benefit year, thus preventing the issuance of duplicate benefits. This technique also allows the claims center to detect an incorrect or bogus Social Security Number in the applicant's possession.

PROCESSING: On-line   **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Tom Lord, Chief
UC Technical Services
1520 W. Capitol Street
Jackson, MS 39205
(601) 961-7752

MISSISSIPPI

AGENCY: Employment Security Commission

NAME: Nonmonetary Eligibility for Unemployment Compensation Benefits

PURPOSE: To verify disqualifications

PROGRAM: Unemployment Compensation Benefits

FILE: Disqualifications

STATUS: Operational since 1980.

DESCRIPTION: When an initial claim is taken, an on-line determination is generated by the computer. Any stop orders in effect will be displayed. The Stop Order file can be activated and the reason for the stop order determined. The disqualification history may be obtained as well as any potentially disqualifying issues that would render an applicant ineligible. A stop order remains in the system until it expires or the applicant fulfills a point of law or policy. Stops placed by the local office can be removed either by the local office or the State Office; a stop placed by the State Office cannot be removed by the local office.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

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MISSISSIPPI

AGENCY: Employment Security Commission

NAME: Use of Computer File to Detect Overpayments

PURPOSE: To verify overpayments

PROGRAM: Unemployment Compensation Benefits

FILE: Overpayments

STATUS: Operational since 1980.

DESCRIPTION: When an initial claim is taken, an on-line determination is generated by the computer which in turn is formatted to the terminal. This format will display an overpayment message if an established overpayment exists. The Overpayment file is then activated and the overpayment history obtained. The computer will not pay benefits when an overpayment message is entered in the system.

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Tom Lord, Chief
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MISSOURI

AGENCY: Division of Family Services

NAME: Employment Security Wage/Unemployment Compensation Match

PURPOSE: To verify earned and unearned income and work history

PROGRAMS: AFDC, Food Stamps (public & non-public assistance) and Medicaid

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since 1975.

DESCRIPTION: Upon receipt of an AFDC, Food Stamps, or Medicaid application, the caseworker enters client-identifying information into a computer terminal to access the Department of Employment Security's wage and UCB files. Inquiry is required for all applications to check for the presence of unreported earned or unearned income.

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Max Foresman
Assistant to the Deputy Director for Income Maintenance
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Jefferson City, MO 65103
(314) 751-8976

MISSOURI

AGENCY: Division of Family Services

NAME: Birth Records Match

PURPOSE: To verify identity, age of child and relationship

PROGRAMS: AFDC and Medicaid

FILES: Birth

STATUS: Operational since 1976.

DESCRIPTION: Before an AFDC or Medicaid applicant can be certified as eligible, a caseworker initiates an on-line search of State birth records maintained by the Division of Health and Vital Statistics. The goals of this technique are twofold: 1) to prevent the payment of AFDC or Medicaid benefits to non-existent children or to individuals who are no longer minors and thus not eligible for certain benefits; and 2) to insure that the applicant is claiming as dependents only those who are related to her or him.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, HCFA)	X (OFA, HCFA)

CONTACT:
Max Foresman
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MISSOURI

AGENCY: Division of Employment Security

NAME: Premonetary Determination Process

PURPOSE: To verify earned income and work history

PROGRAM: Unemployment Compensation Benefits

FILE: Wage

STATUS: Operational since 1977.

DESCRIPTION: For each initial claim filed in a local office, the worker receives on his or her terminal a preliminary monetary determination. This preliminary determination is generated by matching the applicant's Social Security Number with the wage file. Earnings by quarter, applicant's name, employer(s)' name(s) and account number(s) are displayed. This process helps the worker determine if the applicant has sufficient wage credits for UCB and if the wages attributed to him or her are accurate.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT:
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Jefferson City, MO 65104
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MISSOURI

AGENCY: Division of Employment Security

NAME: Initial and Continued Claims Process

PURPOSE: To verify disqualifications

PROGRAM: Unemployment Compensation Benefits

FILE: Disqualifications

STATUS: Operational since 1977.

DESCRIPTION: For all new applicants, UCB claims are matched against nonmonetary data on disqualifications to ensure that no payments are made when an applicant has been previously disqualified for any reason. When a match occurs, a flag is entered which stops all payments until removed.

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Bobby R. Souden, Chief
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MISSOURI

AGENCY: Division of Employment Security

NAME: Overpayments Check

PURPOSE: To verify overpayments

PROGRAM: Unemployment Compensation Benefits

FILE: Overpayments

STATUS: Operational since 1977.

DESCRIPTION: As overpayments occur, they become part of the permanent (computerized) record. If a subsequent claim is filed, it is matched against outstanding overpayments and a notice is generated to the worker who arranges for repayment.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:

	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

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MONTANA

AGENCY: Unemployment Insurance Division

NAME: Name Verification

PURPOSE: To prevent duplicate benefits and to verify earned income

PROGRAM: Unemployment Compensation Benefits

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since 1981.

DESCRIPTION: When an applicant files for UCB, his or her application is sent from the local office taking the claim to a central office for data entry and batch processing. There the claim is matched in several ways. The applicant's name is matched against the wage file to insure that the wages on file are attributed to the correct individual and that the applicant has sufficient wage credits. A check against the file of existing UCB claimants is also done to insure that the applicant isn't already receiving benefits.

PROCESSING: Batch

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Ken Olson
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Helena, MT 59624
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NEVADA

AGENCY: Department of Human Resources

NAME: Welfare Referral System Income Assistance Check

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Child Support Enforcement

FILES: AFDC and Food Stamp Recipient

STATUS: Operational since June 1985.

DESCRIPTION: The Welfare Referral System provides the worker with information about the applicant's receipt of income assistance benefits. When an applicant comes into the local office, the worker enters the applicant's name, SSN, and other data into the "key files". This information is matched on-line against welfare data. (Welfare refers to AFDC, Food Stamps, and Child Support.) The worker inquires directly into the system and can generate a hard copy of the match.

PROCESSING: On-line

USE: Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS)	X (OFA, FNS)

CONTACT:
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State Department of Human Resources
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NEVADA

AGENCY: Department of Human Resources

NAME: AFDC, Food Stamps and Medicaid Applicant Wage Match

PURPOSE: To verify earned and unearned income and work history

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since 1979.

DESCRIPTION: Applicants for AFDC, Food Stamps and Medicaid complete an application for benefits at any local office. Once a week the State Department of Human Resources (DHR) compiles a magnetic tape using the applicants' SSNs and sends it to the State Employment Security Department (ESD) to be matched against wage and UCB files. Hits are sent back to DHR and distributed to local offices where case workers use the information from the match to determine eligibility. If necessary, local eligibility workers can speed up the process by directly calling ESD which has on-line access to this data.

PROCESSING: Batch

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Jean Laird
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NEVADA

AGENCY: Department of Human Resources

NAME: Welfare Referral System Wage and UCB Match

PURPOSE: To verify earned and unearned income

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILES: Wage and Unemployment Compensation Benefits

STATUS: Under development. Estimated completion date is December 1985.

DESCRIPTION: As part of the larger Welfare Referral System, an on-line match to detect an applicant's wages and Unemployment Compensation Benefits will be done. When the applicant comes into the local office, the worker will enter the applicant's name, Social Security Number and other data into the "key files." This information will be matched on-line against the wage and UCB data. The worker will inquire directly into the system and will be able to generate a hard copy of the match.

PROCESSING: On-line

USE: Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	
Federal	X (OFA, FNS, HCFA)	

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NEVADA

AGENCY: Employment Security Department

NAME: Pre-monetary Determination

PURPOSE: To prevent duplicate benefits and to verify earned income and work history

PROGRAM: Unemployment Compensation Benefits

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since 1980.

DESCRIPTION: The pre-monetary determination is used in the local office to determine if wages attributed to an individual belong to that individual, and to determine if the applicant has sufficient wage credits for UCB. In addition, the technique insures that an existing benefit year is not in effect, thus preventing issuance of duplicate benefits. When the applicant comes into the local office, his or her SSN is keyed into a terminal. A listing of employers and a quarterly breakdown of earnings is generated. If the name and SSN don't match, the worker investigates to see if the wages actually belong to the individual. The worker uses the quarterly breakdown of earnings to see if sufficient wages exist. The SSN is also matched against a file of existing UCB claimants to see if the applicant is already receiving UCB elsewhere.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal		X (ETA)

CONTACT: Janet Ryan
Unemployment Insurance Programs Officer
500 East Third Street
Carson City, NV 89713
(702) 885-4515

NEW HAMPSHIRE

AGENCY: Division of Welfare

NAME: Automated Eligibility Management Systems

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid and Adult Supplement

FILES: AFDC, Food Stamp and Medicaid Recipient

STATUS: Operational since 1978.

DESCRIPTION: The New Hampshire Eligibility Management System (EMS) includes an active file for AFDC, Food Stamps, Medicaid and Social Services recipients. When an applicant applies for assistance, the intake worker queries EMS to see if he or she is already receiving any of these benefits. This technique is used to prevent the issuance of duplicate benefits.

PROCESSING: On-line **USE:** Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, HCFA, FNS)	X (OFA, HCFA, FNS)

CONTACT: W. Richard Burrows
Chief, Office of Management Systems
New Hampshire Division of Welfare
Hazen Drive
Concord, NH 03301
(603) 271-4205

NEW HAMPSHIRE

AGENCY: Division of Welfare

NAME: Prescreen for Wage and Unemployment Compensation Benefits

PURPOSE: To prevent duplicate benefits and to verify earned and unearned income

PROGRAMS: AFDC and Food Stamps (public and non-public assistance)

FILES: AFDC and Food Stamp Recipients, Wage and Unemployment Compensation Benefits

STATUS: Operational since July 1985.

DESCRIPTION: This technique was originally developed under a cooperative agreement between the State Division of Welfare and the U.S. Department of Health and Human Services Office of Inspector General as part of a pilot test of standardized computer matching formats. At the initial interview in district offices, AFDC and Food Stamp applicant data is coded on a prescreen form and sent to the State office after workers have checked the New Hampshire Eligibility Management System (see Automated Eligibility Management Systems technique) to determine if the applicant is already receiving benefits. All prescreen forms are compiled into a tape in the standardized assistance program format and sent weekly to the Department of Employment Security to be matched against wage and UCB files. Reports from these matches are sent to district offices so that the applicant information can be verified when the applicant returns for the second interview.

PROCESSING: Batch **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local	X	
State		
Federal	X (HHS/OIG)	X (OFA, FNS)

CONTACT: W. Richard Burrows
Chief, Office of Management Systems
New Hampshire Division of Welfare
Hazen Drive
Concord, NH 03301
(603) 271-4205

NEW HAMPSHIRE

AGENCY: Department of Employment Security

NAME: Monetary Determinations

PURPOSE: To prevent duplicate benefits and to verify earned income, work history, disqualifications and overpayments

PROGRAM: Unemployment Compensation Benefits

FILES: Wage, Unemployment Compensation Benefits, Overpayments, and Disqualifications

STATUS: Operational since 1971.

DESCRIPTION: When a UCB application is filed, applicant data is entered onto an input document which is mailed to the central office. This information is batch keyed into the computer. The SSN is matched against several files and the following information is printed out: the wages reported under that SSN in the base period, any name associated with that SSN if different than the one entered, a code indicating any existing disqualifications, a code indicating any existing overpayments, and a message advising if a claim for benefits has previously been filed for that same benefit year in another office. This form is returned to the local office where the claim was filed. This information allows the office to make a determination that the applicant meets the monetary requirements for benefit eligibility and informs them of other conditions.

PROCESSING: Batch

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: George Soulia
Director, UCB
32 South Main Street
Concord, NH 03301
(603) 224-3311

NEW JERSEY

AGENCY: Division of Public Welfare

NAME: Unemployment Income Benefit Verification System

PURPOSE: To verify unearned income

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and General Assistance

FILE: Unemployment Compensation Benefits

STATUS: Operational since 1975.

DESCRIPTION: This technique allows eligibility workers to access UCB data at application for AFDC, Food Stamps and general assistance. The county welfare office worker telephones or mails a match request to the State Department of Public Welfare Integrity Control Section. The DPW Integrity Control Section performs an on-line match of applicant data with the State Department of Labor's UCB data base which is updated nightly.

PROCESSING: On-line and batch USE: At worker's discretion

FUNDING SOURCES:	Development	Operation
Local		X
State	X	X
Federal	X (OFA, FNS)	X (OFA, FNS)

CONTACT: Jerry Powell
Assistant Director, Administrative Operations
New Jersey Division of Public Welfare
CN 716
Trenton, NJ 08625
(609) 633-6176

NEW JERSEY

AGENCY: Division of Unemployment and Disability Insurance

NAME: Local Office On-line Payments System (LOOPS)

PURPOSE: To prevent duplicate benefits and to verify unearned income and overpayments

PROGRAM: Unemployment Compensation Benefits

FILES: Unemployment Compensation Benefits, Overpayments and Disability Benefits

STATUS: Operational since 1973.

DESCRIPTION: When a new claim is entered into the computer system, it is automatically matched by Social Security Number (SSN) against existing UCB claimants and disability beneficiaries. Additionally, the claimant's SSN is matched against a listing of individuals having received overpayments. These matches check for duplicate benefits, unearned income (in the form of disability benefits), and outstanding overpayments.

PROCESSING: On-line

USE: Not available

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT:
Charles Serraino
Commissioner
New Jersey Department of Labor
CN 110
Trenton, NJ 08625-0110
(609) 292-2323

NEW JERSEY

AGENCY: Division of Unemployment and Disability Insurance

NAME: Initial Claims Check

PURPOSE: To verify earned income and work history

PROGRAM: Unemployment Compensation Benefits

FILE: Wage

STATUS: Under development. Estimated completion date is July 1986.

DESCRIPTION: Commencing with the July-September 1984 quarter, New Jersey employers are required to file reports quarterly with the New Jersey Department of Labor, providing detailed information specific to each employee who worked in the report quarter. Effective July 1, 1986, the validity of new initial claims will be based on wages earned and reported during the first four of the five completed quarters immediately prior to the filing of the claim. The applicant's work history and earnings will be checked by accessing the wage file.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	

CONTACT: Charles Serraino
Commissioner
New Jersey Department of Labor
CN 110
Trenton, NJ 08625-0110
(609) 292-2323

NEW MEXICO

AGENCY: Human Services Department

NAME: 198C

PURPOSE: To prevent duplicate benefits

PROGRAM: AFDC

FILE: AFDC Recipient

STATUS: Operational since April 1984.

DESCRIPTION: When an AFDC applicant comes into a local office, his or her SSN is matched on-line against a statewide file of people who are already receiving AFDC. If the individual's SSN is currently included in either an active case or a pending application, a message is returned to the worker reporting the case and it's status.

PROCESSING: On-line **USE:** Not available

FUNDING SOURCES:	Development	Operation
Local		
State		X
Federal	X (OFA)	X (OFA)

CONTACT: Scott Chamberlin
Chief, Financial Assistance Bureau
P.O. Box 2348
Santa Fe, NM 87504-2348
(505) 827-4429

NEW MEXICO

AGENCY: Human Services Department

NAME: Duplicate Benefits Check

PURPOSE: To prevent duplicate benefits

PROGRAM: Food Stamps (public and non-public assistance)

FILE: Food Stamp Recipient

STATUS: Operational since 1980.

DESCRIPTION: When a Food Stamp applicant comes into a local office, the eligibility worker uses on-line access to the Food Stamp master file to determine if the applicant is already receiving benefits. The worker may obtain a variety of information: whether the applicant was part of a case which was closed in the previous calendar year, whether the applicant was part of a case which was closed between 1980 and the previous calendar year, whether the applicant was part of a case in the previous month, and whether the applicant has received Food Stamp benefits in the last two fiscal years.

PROCESSING: On-line   USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (FNS)	X (FNS)

CONTACT: Jerry Standard
Chief, Food Assistance Bureau
P.O. Box 2348
Santa Fe, NM 87504-2348
(505) 827-4184

NEW MEXICO

AGENCY: Human Services Department

NAME: HPDX

PURPOSE: To verify unearned income

PROGRAM: Food Stamps (public and non-public assistance)

FILE: State Data Exchange (SDX)

STATUS: Operational since 1983.

DESCRIPTION: The HPDX screen provides workers with information on Supplemental Security benefits for Food Stamp applicants. The State computerizes the State Data Exchange tape received from the Social Security Administration, creating a data base called the SDX Register. When a Food Stamp applicant comes into a local office, applicant data is matched on-line against the SDX Register.

PROCESSING: On-line USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (FNS)	X (FNS)

CONTACT: Jerry Standard
Chief, Food Assistance Bureau
P.O. Box 2348
Santa Fe, NM 87504-2348
(505) 827-4184

NEW MEXICO

AGENCY: Human Services Department

NAME: HPWX

PURPOSE: To verify unearned income, work history and employers

PROGRAM: Food Stamps (public and non-public assistance)

FILES: Unemployment Compensation Benefits and Employers

STATUS: Operational since 1983.

DESCRIPTION: This technique provides the worker with information on the Food Stamp applicant's employers and Unemployment Compensation Benefits. Workers use on-line access to the Employment Security Department to obtain information about the applicant's employers, who are listed by name, address and telephone number. Information on wages is supplied in terms of quarters employed rather than amount of wages. (Wage information is not received due to confidentiality restrictions.) The number of employers is also listed.

Workers also use on-line access to determine the start date of UCB, weekly benefit amount, last check date, remaining balance, employer and any percentage of the UCB that might be garnished by the Department's Child Support Enforcement Bureau.

PROCESSING: On-line　　**USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (FNS)	X (FNS)

CONTACT: Jerry Standard
Chief, Food Assistance Bureau
P.O. Box 2348
Santa Fe, NM 87504-2348
(505) 827-4184

NEW YORK

AGENCY: Department of Social Services

NAME: Resource File Integration

PURPOSE: To verify earned income and work history

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) Medicaid and Home Relief

FILE: Wage

STATUS: Operational since 1983. (Piloted in 4 districts first.)

DESCRIPTION: As a new sub-system of the Welfare Management System (WMS), the Resource File Integration automatically provides front-end matching of all applicants of public assistance against the State wage file. The wage data is available on-line to eligibility workers. To assure that local workers take action on the information, a resolution code indicating the action taken is required before any further processing can take place. Future plans call for adding State UCB data to the resource file. This system is used statewide except in New York City. New York City has a slightly different system which provides the same information. (Refer to Overnight Clearance System Technique.)

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:	**Development**	**Operation**
Local		
State	X	X
Federal	X (OFA, HCFA, FNS)	X (OFA, HCFA, FNS)

CONTACT: John DiPalermo
Deputy Commissioner ITM
40 North Pearl Street
Albany, NY 12243
(518) 474-9482

NEW YORK

AGENCY: Department of Social Services

NAME: Overnight Clearance System

PURPOSE: To verify earned income and work history

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid and Home Relief

FILE: Wage

STATUS: Operational since 1982.

DESCRIPTION: This system matches all applicants for public assistance in New York City against past earnings. A daily tape of all applicants is created and sent electronically to the State Department of Tax and Finance for matching against their earnings files and sent back to the city that same night. The system also provides wage data for use in fraud investigations and Child Support Enforcement. The Resource File Integration system will eventually replace New York City's current system. (Refer to Resource File Integration technique.)

PROCESSING: Batch

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local	X	X
State	X	X
Federal	X (OFA, HCFA, FNS)	X (OFA, HCFA, FNS)

CONTACT:
John DiPalermo
Deputy Commissioner ITM
40 North Pearl Street
Albany, NY 12243
(518) 474-9482

NEW YORK

AGENCY: Department of Labor

NAME: Original Claim Preliminary Verification

PURPOSE: To prevent duplicate benefits

PROGRAM: Unemployment Compensation Benefits

FILE: Unemployment Compensation Benefits

STATUS: Operational since 1979.

DESCRIPTION: When a UCB applicant comes into a local office, applicant data is entered into the computer system. This data is matched by computer against existing UCB claimants to insure that another claim is not pending and any previous benefit year has expired.

PROCESSING: On-line **USE:** Not available

FUNDING SOURCES:	**Development**	**Operation**
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Alice M. Java
Chief of Labor Computer System Design
New York State Department of Labor
Building 12 State Campus
Albany, NY 12240
(518) 457-2998

NEW YORK

AGENCY: Department of Labor

NAME: Verification of Eligibility to Establish a Valid Original Claim as Defined by Statute

PURPOSE: To verify earned income, work history and disqualifications

PROGRAM: Unemployment Compensation Benefits

FILES: Wage and Disqualifications

STATUS: Operational since 1979.

DESCRIPTION: This technique is currently used in 60 percent of New York. Statewide use is anticipated by October 1984. When an applicant for UCB comes into a local office, workers check the wage file to insure that the individual's work history as reported by the "covered" base year employers reflects at least the statutory minimum number of weeks worked and at least the minimum earnings. Workers also check to insure the applicant doesn't have any active disqualifications on file.

PROCESSING: On-line and batch

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT:
Alice M. Java
Chief of Labor Computer System Design
New York State Department of Labor
Building 12 State Campus
Albany, NY 12240
(518) 457-2998

NORTH CAROLINA

AGENCY: Department of Human Resources

NAME: Name Search for Eligibility Information System (EIS)

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC and Medicaid

FILES: AFDC and Medicaid Recipient

STATUS: Operational since 1982.

DESCRIPTION: Upon receipt of an AFDC or Medicaid application, the county office must access AFDC and Medicaid recipient files. This Name Search Inquiry technique is used to prevent duplicate benefits.

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:

	Development	Operation
Local	X	X
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT:
Kay C. Fields, Chief
Assistance Payments Section
325 North Salisbury Street
Room 711
Raleigh, NC 27611
(919) 733-7831

NORTH CAROLINA

AGENCY: Department of Human Resources

NAME: Screen Comparison for Duplicate Participation (Name Clearance)

PURPOSE: To prevent duplicate benefits

PROGRAM: Food Stamps (public & non-public assistance)

FILE: Food Stamp Recipient

STATUS: Operational since 1983.

DESCRIPTION: Using the applicant's name, SSN and date of birth, a worker calls up an inquiry screen to determine if a Food Stamp applicant is already participating in the program anywhere in the State. The screen provides information on both active and inactive cases. The worker does this screen for all new applicants, using on-line access to the information.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local	X	X
State	X	X
Federal	X (FNS)	X (FNS)

CONTACT: C. Larry Goolsby
Chief, Food Stamp Operations & Imp. Section
325 North Salisbury Street
Room 859
Raleigh, NC 27611
(919) 733-4570

NORTH CAROLINA

AGENCY: Department of Human Resources

NAME: Employment Security Commission File

PURPOSE: To verify earned and unearned income and work history

PROGRAMS: AFDC, Food Stamps (public & non-public assistance) and Medicaid

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since 1982.

DESCRIPTION: Upon receipt of an AFDC, Food Stamps, or Medicaid application, the county office is required to perform an on-line inquiry of wage and UCB data from the Employment Security Commission. For each applicant a screen print is made of data available in the Employment Security Commission File. This technique is used on all applicants to insure that wages and Unemployment Compensation Benefits are considered before eligibility is certified.

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local	X	X
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Kay C. Fields, Chief
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NORTH CAROLINA

AGENCY: Department of Social Services

NAME: State Data Exchange

PURPOSE: To verify unearned income

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid and Emergency Assistance

FILE: State Data Exchange

STATUS: Operational since May 1985.

DESCRIPTION: The State receives the State Data Exchange (SDX) tape from the Social Security Administration which lists all Supplemental Security Income (SSI) recipients in North Carolina. The State computerizes the SDX tape, creating a data base that eligibility workers access on-line to verify SSI benefits.

PROCESSING: On-line

USE: Not available

FUNDING SOURCES:

	Development	Operation
Local	X	X
State	X	X
Federal	X (OFA, HCFA)	X (OFA, HCFA)

CONTACT: Kay C. Fields, Chief
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(919) 733-7831

NORTH CAROLINA

AGENCY: Department of Human Resources

NAME: Social Security Administration (SSA) Query Card System

PURPOSE: To verify unearned income

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILES: SSA Retirement, Survivors, Disability Insurance Recipient and Supplemental Security Income Recipients

STATUS: Operational since 1980.

DESCRIPTION: The Query Card system provides rapid verification of Social Security Retirement, Survivors and Disability Insurance benefits and of Supplemental Security Income benefits. Caseworkers desiring verification of these benefits complete a Social Security Administration (SSA) Query Card with the applicant's name, Social Security Number, and a local office code, and send it to the appropriate SSA office. SSA electronically processes the Query Card, returning a printout with benefit information to the requestor. Turnaround time is three work days.

PROCESSING: Batch **USE:** At worker's discretion

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (SSA)	X (SSA)

CONTACT: Kay C. Fields, Chief
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NORTH CAROLINA

AGENCY: Department of Human Resources

NAME: Division of Motor Vehicles Match

PURPOSE: To verify resources/assets

PROGRAMS: AFDC, Food Stamps (public & non-public assistance) and Medicaid

FILE: Motor Vehicles

STATUS: Operational since 1983.

DESCRIPTION: Upon receipt of an AFDC, Food Stamps, or Medicaid application, the eligibility worker is required to perform an on-line inquiry to access State Division of Motor Vehicle files. A screen print is made of all data available on each applicant in the Division of Motor Vehicles files. This information is used to determine if excess resources exist.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local	X	X
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Kay C. Fields, Chief
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Room 711
Raleigh, NC 27611
(919) 733-7831

NORTH CAROLINA

AGENCY: Employment Security Commission

NAME: Monetary Determination

PURPOSE: To verify earned income

PROGRAM: Unemployment Compensation Benefits

FILE: Wage

STATUS: Operational since 1971.

DESCRIPTION: Employers submit quarterly wage statements which are entered into the wage record file and retained in the system for six quarters. When a claim for UCB is filed, the claimant's SSN is transmitted by mail to the central office. It is matched against the wage record to identify wages paid during the current base period and credited to that SSN. If sufficient wages are available, the claim is deemed monetarily eligible and further processing of the claim proceeds.

PROCESSING: Batch

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Preston L. Johnson
Unemployment Insurance Director
P.O. Box 25903
Raleigh, NC 27611
(919) 733-3121

NORTH DAKOTA

AGENCY: Department of Human Services

NAME: Technical Eligibility Computer System (TECS)

PURPOSE: To prevent duplicate benefits and to verify resources/assets

PROGRAMS: AFDC and Food Stamps (public and non-public assistance)

FILES: AFDC and Food Stamp Recipient and Department of Motor Vehicles Records

STATUS: Operational since October 1984.

DESCRIPTION: As part of TECS (which is an automated eligibility determination system), some automated eligibility verification is done. A check for duplicate benefits is made. An AFDC or Food Stamp applicant's name and SSN is matched against a client index of prior and current recipients. If the applicant has received benefits in the past, their historical file is brought up on the screen. If the applicant is currently receiving benefits, the system does not allow a case number to be given.

When completing the automated application, the eligibility worker inputs different kinds of resources. If an automobile is entered as a liquid resource, applicant data is matched online against state motor vehicle records.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		X
State	X	X
Federal	X (OFA, FNS)	X (OFA, FNS)

CONTACT: John H. Opp
Administrator of Public Assistance
North Dakota Department of Human Services
Judicial Wing - Capitol Building
Bismarck, ND 58505
(701) 224-4009

NORTH DAKOTA

AGENCY: Job Service

NAME: No Name

PURPOSE: To prevent duplicate benefits and to verify earned income and work history

PROGRAM: Unemployment Compensation Benefits

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since 1972.

DESCRIPTION: When a UCB applicant comes into a local office, his or her SSN is matched against the wage file and the payment file. Workers have on-line access to this information and do this match for all applicants. The match against the wage file reveals if an individual has sufficient wage credits and work weeks and the match against the payment file detects existing claims.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Mitchell A. Tjaden
Chief of Benefits
Job Insurance Division
P.O. Box 1537
Bismarck, ND 58502
(701) 224-2866

OHIO

AGENCY: Bureau of Employment Services

NAME: Initial Claim Verification

PURPOSE: To prevent duplicate claims and to verify employer liability

PROGRAM: Unemployment Compensation Benefits

FILES: Unemployment Compensation Benefits and Employer

STATUS: Operational statewide since 1983.

DESCRIPTION: At the time of application, when a UCB claimant comes into the local office, an on-line computer terminal is used to access the benefit claims history file and the employer status file. The purpose of this access is to determine if the claimant has a claim file in another office or if the existing claim overlaps the new claim being filed. The second access compares employer information to determine if the employer was liable for the stated dates of employment on the application and to obtain mailing information to send the wage requests to the employers involved. A comparison for either purpose may result in a reject of the claim for further processing.

PROCESSING: On-line **USE:** Not available

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Merrill Baumgardner
Director, Data Processing
Ohio Bureau of Employment Services
145 South Front Street
Columbus, OH 43216
(614) 466-2237

OKLAHOMA

AGENCY: Department of Human Services

NAME: 'ALFX' Look-Up

PURPOSE: To prevent duplicate benefits and to verify unearned income

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid and Refugee Resettlement

FILES: AFDC, Food Stamp, Medicaid Recipient and State Data Exchange (SDX)

STATUS: Operational. Information not available on length of time operational.

DESCRIPTION: An on-line match of applicant data is made with a file of recipients who have received or are receiving AFDC, Food Stamp, Medicaid or other benefits from DHS. This match is made by name or Social Security Number. A list of up to 50 possible duplicates will be provided on-line if a match is found.

An on-line match of applicant data is also made of Supplemental Security Income benefits received from the Social Security Administration (SSA). The State computerizes the SDX sent to it by SSA creating a data base that workers access.

PROCESSING: On-line USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Viann Hardy
Assistant Director for Management Information Division
1110 N.E. 12th
Oklahoma City, OK 73117
(405) 236-1525, Extension 200

OKLAHOMA

AGENCY: Department of Human Services

NAME: Case Number Assignment

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid and Refugee Resettlement

FILES: AFDC, Food Stamp and Medicaid Recipients

STATUS: Operational. Information not available on length of time operational.

DESCRIPTION: When an on-line request is made to establish a new DHS case, this process matches applicant data to an on-line file of DHS recipients who are currently receiving benefits or have received benefits. The match is by name and Social Security Number for all members of the new case. If any matches are found, a list of all possible matches is returned on-line in order that the requester may determine if a 'true' duplicate exists. The requester may then take appropriate action by assigning the case or deferring until further information is available.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Viann Hardy
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OREGON

AGENCY: Adult and Family Services Division

NAME: Central Client Index

PURPOSE: To prevent duplicate benefits, and to verify unearned income

PROGRAMS: AFDC and Medicaid

FILES: AFDC, Food Stamp, Medicaid Recipient and Child Support

STATUS: Operational since 1979.

DESCRIPTION: When an applicant for AFDC or Medicaid applies at a local office, the eligibility worker is required to check for duplicate participation at application. The worker enters the applicant's name and SSN onto a computer in the local office to determine if the individual is currently receiving AFDC or Medicaid benefits or has received them previously. In addition, the worker matches applicant data with Food Stamp benefit files and Child Support files to determine if the applicant is receiving either of those benefits.

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, HCFA)	X (OFA, HCFA)

CONTACT: Maurice Walker
Supervisor
100 Public Service Building
Salem, OR 97310
(503) 378-3190

OREGON

AGENCY: Adult and Family Services Division

NAME: Verification of Eligibility

PURPOSE: To prevent duplicate benefits

PROGRAM: Food Stamps (public and non-public assistance)

FILE: Food Stamp Recipient

STATUS: Operational since 1974.

DESCRIPTION: Upon application, all persons in a potential Food Stamp household must submit SSNs which are matched on-line against all current Food Stamp cases and all Food Stamp cases closed within the last five months. If the SSN of any family member is found, the payment document is rejected until the record can be transferred to the new branch office and any potential for duplicate benefits is eliminated.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:

	Development	Operation
Local		
State	X	X
Federal	X (FNS)	X (FNS)

CONTACT: Alvin Damm
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312 Public Service Building
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(503) 378-3193

OREGON

AGENCY: Adult and Family Services Division

NAME: Employment Division Inquiry

PURPOSE: To verify earned and unearned income

PROGRAMS: AFDC and Medicaid

FILES: Wage and Unemployment Compensation Benefit

STATUS: Operational since 1975.

DESCRIPTION: When an AFDC or Medicaid applicant applies at a local office, the eligibility worker uses on-line access to wage and UCB files to determine if the applicant has unreported income. The match is required for each adult applicant on the AFDC or Medicaid application.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, HCFA)	X (OFA, HCFA)

CONTACT: Maurice Walker
Supervisor
100 Public Service Building
Salem, OR 97310
(503) 378-3190

OREGON

AGENCY: Adult and Family Services Division

NAME: Comprehensive Automated System for Client Assistance and Determination of Eligibility (CASCADE)

PURPOSE: To prevent duplicate benefits, and to verify earned and unearned income and work history

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILES: AFDC, Food Stamp, Medicaid Recipient, Wage, Unemployment Compensation Benefits, Child Support and State Data Exchange

STATUS: Under development. Estimated completion date is 1987.

DESCRIPTION: The CASCADE System will accept application data at field offices and screen existing AFDC, Food Stamp, and Medicaid files for current and prior involvement by applicants. Additionally, the system will search wage files for earnings, Unemployment Compensation Benefit files for receipt of benefits, Child Support files for receipt of benefits or an indication of the potential for receiving Child Support, and the State Data Exchange file for receipt of Supplemental Security Income. The matching will be done using the applicant's SSN, name and date of birth as identifiers.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	
Federal	X (OFA)	

CONTACT: Marilyn Zane
Project Director, CASCADE
301 Public Service Building
Salem, OR 97310
(503) 373-7279

PENNSYLVANIA

AGENCY: Department of Public Welfare

NAME: Wage/UC Inquiry System

PURPOSE: To verify earned and unearned income, and work history

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILES: Wage and Unemployment Compensation Benefits

STATUS: Under development. Estimated completion date is summer 1985.

DESCRIPTION: Wage and UC information on all active recipients will be loaded into an on-line inquiry file with access given to all local offices. On a daily basis, local offices will be able to request additional data on-line for new applicants. Return information will be available within 48 hours, enabling usage prior to authorization. The entire file will be updated quarterly to maintain current wage data.

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	
Federal	X (OFA)	

CONTACT: Christine M. Bowser
Acting Director, Bureau of Special Policy and Program Management
Room 32, Park Penn Building
5101 Jonestown Road
Harrisburg, PA 17112
(717) 657-4317

PENNSYLVANIA

AGENCY: Department of Labor and Industry

NAME: Financial Determination

PURPOSE: To prevent duplicate benefits and to verify earned income and work history

PROGRAM: Unemployment Compensation Benefits

FILE: Wage

STATUS: Operational. Length of time operational not available.

DESCRIPTION: When the claimant completes an application for UCB, the application is recorded onto magnetic tape and edited. The application data is then batch matched against the automated file of employer-reported quarterly wages. Wages and credit weeks applicable to the claim are collected and matched against the table specified by Pennsylvania's Unemployment Compensation law to determine eligibility for benefits. A notice of eligibility is generated. The data are also matched against existing UCB claimants to ensure that another claim is not pending and any other previous benefit year has expired.

PROCESSING: Batch

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Leora Kirkpatrick
Supervisor, Benefit Payment Control
Room 407
Labor and Industry Building
Harrisburg, PA 17121
(717) 787-4621

PENNSYLVANIA

AGENCY: Department of Labor and Industry

NAME: Prevention of Payment Where An Overpayment Balance Exists on a Prior Claim

PURPOSE: To verify overpayments

PROGRAM: Unemployment Compensation Benefits

FILE: Overpayments

STATUS: Operational since 1969.

DESCRIPTION: When an overpayment is made on a UCB claim and not recovered, a record of the overpayment is made and maintained on file. Applicant data from new UCB claims is matched with this overpayment file. When a hit occurs, payment to the claimant is prevented until an effort is made to offset the previous overpayment.

PROCESSING: Batch USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Leora Kirkpatrick
Supervisor Benefit Payment Control
Room 407
Labor and Industry Building
Harrisburg, PA 17121
(717) 787-4621

PUERTO RICO

AGENCY: Bureau of Employment Security

NAME: No name

PURPOSE: To prevent duplicate benefits, and to verify earned income and work history

PROGRAM: Unemployment Compensation Benefits

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since 1970.

DESCRIPTION: When a UCB applicant completes a claim in a local office, essential data elements such as the claimant's name, SSN, and other items are sent to the central office. There they are batch processed. A search for wages is made by matching the applicant's SSN against the wage file, which is comprised of earnings information reported by employers quarterly. A monetary determination is issued by the computer if the claimant meets wage and employment requirements. If eligible, a benefit year is established and a weekly and maximum benefit amount is determined. If a benefit year has already been established, the claim is rejected, thus preventing the issuance of duplicate benefits.

PROCESSING: Batch **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Jose A. Marrero
UI Deputy Director
Department of Labor and Human Resources
Bureau of Employment Security
12th Floor
505 Munoz Rivera Avenue
Hato Rey, PR 00918
(809) 754-5254 or 754-5255

RHODE ISLAND

AGENCY: Department of Employment Security

NAME: Claims Control

PURPOSE: To prevent duplicate benefits, and to verify unearned income and overpayments

PROGRAMS: Unemployment Compensation Benefits and Temporary Disability Insurance

FILES: Unemployment Compensation Benefits, Overpayment and Temporary Disability Insurance Claims

STATUS: Operational since 1964.

DESCRIPTION: New UCB claims are data-entered and compared with existing UCB claims, Rhode Island's Temporary Disability Insurance claims and overpayments. A report is produced which lists duplicate claims, claims previously filed for Temporary Disability Insurance, and claims with outstanding overpayments. (Temporary Disability Insurance, or TDI, is a state program paying benefits to workers temporarily disabled and unable to work; receipt of TDI disqualifies an individual from receiving UCB.) The report is then sent to the local offices.

PROCESSING: Batch **USE:** Not available

FUNDING SOURCES:	Development	Operation
Local	Not	
State	available	
Federal		X (ETA)

CONTACT: Dennis Avila
Chief, Research and Program Standards
Rhode Island Department of Employment Security
24 Mason Street
Providence, RI 02903
(401) 277-3700

SOUTH CAROLINA

AGENCY: Department of Social Services

NAME: Employment Security Commission/Food Stamp Match and Unduplicated Participation

PURPOSE: To prevent duplicate benefits, and to verify earned and unearned income and work history

PROGRAM: Food Stamps (public and non-public assistance)

FILES: Food Stamp Recipients, Wage and Unemployment Compensation Benefits

STATUS: Operational since July 1985.

DESCRIPTION: The Department of Social Services will conduct a monthly match with the State Employment Security Commission's wage and UCB information. Data obtained from this match will be incorporated into the centrally-maintained Food Stamp file. Upon application the Food Stamp applicant's SSN will be matched against this wage and UCB information. Workers will access the information on-line. The worker's first inquiry will only indicate whether any wages or UCB are on file. A second inquiry will provide specific dollar amounts.

The applicant's SSN will also be used to check for duplicate participation. A receptionist in the local office will use the SSN to perform an on-line match of the statewide Food Stamp recipient file. The information produced from this match will be given to the worker to be used during the intake interview.

PROCESSING: On-line

USE: Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (FNS)	X (FNS)

CONTACT: Tom Christmus
Senior Systems Analyst
South Carolina Department of Social Services
Data Processing Division
P.O. Box 1520
Columbia, SC 29202
(803) 758-0040

SOUTH CAROLINA

AGENCY: Employment Security Commission

NAME: Monetary Determination Process

PURPOSE: To prevent duplicate benefits, and to verify earned income, work history and overpayments

PROGRAM: Unemployment Compensation Benefits

FILES: Wage, Unemployment Compensation Benefits and Overpayments

STATUS: Operational since 1978.

DESCRIPTION: The automated monetary determination process checks to determine the existence of a prior active claim for benefits before establishing a new claim; this insures that a claimant cannot establish two claims simultaneously. The same process also uses wages previously reported by employers to establish if the claimant has sufficient wage credits to receive UCB. Additionally, the last name of the claimant is checked against the first three letters of the last name in the wage file to insure the wages belong to the claimant. No benefits are paid if the applicant has an outstanding overpayment; a stop order in the computer prevents benefits from being paid by rejecting them as they are processed.

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	
Federal	X (ETA)	X (ETA)

CONTACT: James K. Jordan, Jr.
UI Technical Services Supervisor
P.O. Box 995
Columbia, SC 29202
(803) 758-2387

TENNESSEE

AGENCY: Department of Human Services

NAME: Clearinghouse

PURPOSE: To prevent duplicate benefits, and to verify earned and unearned income, work history, identity, age and relationship

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILES: Wage, Unemployment Compensation Benefits, AFDC, Food Stamp, and Medicaid Recipients, Birth and New Hire

STATUS: Operational since 1983. Currently being enhanced so applicants will automatically be matched against all files, instead of being matched by worker against individual files.

DESCRIPTION: Upon application, wage, UCB, birth, and new hires files are accessed on-line through a computer terminal for AFDC, Food Stamp, and Medicaid applicants. (The new hires file consists of individuals who have recently returned to work. The information is provided by employers to the Department of Employment Security.) The match against State birth records provides information about applicants' (and their dependent's) age, identity, and relationship to others. Applicants are also checked against current recipient files to prevent payment of duplicate benefits.

PROCESSING: On-line

USE: Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Joe L. Williams
Assistant Director, Nutritional Assistance
111-119 7th Avenue, North
Nashville, TN 37203
(615) 741-1750

TENNESSEE

AGENCY: Department of Employment Security

NAME: Pre-eligibility Check

PURPOSE: To prevent duplicate benefits, and to verify earned income, work history and overpayments

PROGRAM: Unemployment Compensation Benefits

FILES: Wage, Unemployment Compensation Benefits and Overpayments

STATUS: Operational since 1978.

DESCRIPTION: This process determines if the claimant has sufficient wages to establish a claim and if the claimant has any prior claims or overpayments. At application, the claimant's SSN is entered into the computer system. The SSN is matched against wages, employers, current UCB claimants, and overpayments. If there are sufficient wages and work weeks and no existing claim or overpayment, the process computes a monetary determination. If a claim is already on file, the claims file is accessed to display information about the existing claim.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT:
Mary Rollins
Chief, U.I. Systems
Room 500, Cordell Hull Building
Nashville, TN 37219
(615) 741-1948

TEXAS

AGENCY: Department of Human Resources

NAME: Notice of Application (NOA) Report

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILES: AFDC, Food Stamp and Medicaid Recipient

STATUS: Operational since 1979.

DESCRIPTION: When an application is received in the local office, a Notice of Application (NOA) data entry form is prepared which includes the applicant's name, address, sex, race, SSN, and Social Security Case Number. The NOA data is entered on-line into the computer either in the local office or is sent to a designated site for entry. NOAs are compared with all clients on file and probable client matches are identified when specific match criteria exists. NOA applicant data and case affiliation data such as type of case, client status and income, case name, address, case location, and assigned worker are included in the report. Eligibility workers receive probable matches or a notice that a match was not found. This report is used to investigate the applicant's program activities and ensure delivery of unduplicated benefits, indentify and correct erroneous applicant information entered on the NOA, and to prevent assignment of multiple client numbers.

PROCESSING: On-line and batch

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Hank Atkinson, Administrator
Financial Systems Division
Texas Department of Human Resources
P.O. Box 2960
Austin, TX 78769
(512) 450-4383

READEX FICHE

TEXAS

AGENCY: Department of Human Resources

NAME: Automated Perfect Match of Client Biographical Information

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILES: AFDC, Food Stamp and Medicaid Recipient

STATUS: Operational since 1979.

DESCRIPTION: Applicants who have been authorized to receive program benefits are compared with clients currently receiving benefits prior to payment to ensure delivery of unduplicated benefits. As part of this process, the eligibility worker completes a data entry form authorizing delivery of benefits. The benefit authorization data is entered into the computer either in the local office or is sent to a designated site for entry. The computer uses specific match criteria to compare the applicants authorized to receive benefits with all active clients on file. The authorization for benefits is rejected and benefits are not delivered if a match is found. The original data entry form is returned to the worker with the reason for its rejection.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Hank Atkinson, Administrator
Financial Systems Division
Texas Department of Human Resources
P.O. Box 2960
Austin, TX 78769
(512) 450-4383

TEXAS

AGENCY: Department of Human Resources

NAME: On-line Terminal Information System (OTIS)

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILES: AFDC, Food Stamp and Medicaid Recipient

STATUS: Operational since 1979.

DESCRIPTION: Immediate access to client and program computer files is available to eligibility workers as needed to research an applicant's affiliation with AFDC, Food Stamps, and social service programs. The information is accessible through terminals located in the local offices, or by telephone from a designated OTIS terminal location. OTIS is used during the application process to determine whether or not an applicant is already associated with an active or denied case and to ensure the case record is available during the eligibility determination process.

PROCESSING: On-line **USE:** At worker's discretion

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Hank Atkinson, Administrator
Financial Systems Division
Texas Department of Human Resources
P.O. Box 2960
Austin, TX 78769
(512) 450-4383

TEXAS

AGENCY: Department of Human Resources

NAME: Eligibility Worker Requested Wage/Unemployment Match

PURPOSE: To verify earned and unearned income and work history

PROGRAMS: AFDC and Food Stamps (public and non-public assistance)

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since March 1984.

DESCRIPTION: If an eligibility worker believes the applicant has provided questionable information regarding income, she or he completes a brief request form (with SSN and other data) and mails it to the Texas Department of Human Resources (TDHR) State office key entry site. The requests are entered on tape and at the end of each week the tape is transmitted to the Texas Employment Commission (TEC). At TEC, the taped SSNs are matched with TEC wage and UCB files. All matches have the most recent wage and UCB data captured on tape which is then returned to the TDHR. The TDHR data processing unit then prepares reports which are returned to the requestors, either providing the requested data or informing them that no one with that SSN is on the TEC files.

PROCESSING: Batch **USE:** At worker's discretion

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS)	X (OFA, FNS)

CONTACT: Hank Atkinson, Administrator
Financial Systems Division
Texas Department of Human Resources
P.O. Box 2960
Austin, TX 78769
(512) 450-4383

TEXAS

AGENCY: Department of Human Resources

NAME: Social Security Administration (SSA) Query Card System

PURPOSE: To verify unearned income

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILES: SSA Retirement, Survivors, Disability Insurance Recipient and Supplement Security Income Recipients

STATUS: Under development. Estimated completion date is Fall 1985.

DESCRIPTION: The Query Card system will provide rapid verification of Social Security Retirement, Survivors, and Disability Insurance benefits, and of Supplemental Security Income benefits. Caseworkers desiring verification of these benefits will complete an SSA Query Card with the applicant's name, SSN, and a local office code, and send it to the appropriate SSA office. SSA will then electronically process the Query Card, returning a printout with benefit information to the requestor.

PROCESSING: Batch

USE: At worker's discretion

FUNDING SOURCES:	Development	Operation
Local		
State	X	
Federal	X (SSA)	

CONTACT:

Kathie Woods
Medicaid Eligibility Policy Section
Texas Department of Human Resources
P.O. Box 2960/(542-W)
Austin, TX 78769
(512) 450-3229

or

Barbara Musil
Division Administrator
Texas Department of Human Resources
P.O. Box 2960/519-W
Austin, TX 78769
(512) 450-3442

TEXAS

AGENCY: Employment Commission

NAME: Initial Claims Process

PURPOSE: To prevent duplicate benefits

PROGRAM: Unemployment Compensation Benefits

FILE: Unemployment Compensation Benefits

STATUS: Operational since 1962.

DESCRIPTION: As part of the initial claims process, an on-line match is made of UCB applicant data with prior benefit year claims. This prevents qualifying claimants when benefit years overlap. If the check establishes there is not already a current benefit year, the worker may proceed with the claim. The match is done for all applicants.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:

	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Bill Townsen
Associate Chief of Benefits
Texas Employment Commission
TEC Building, Room 380
101 East 15th Street
Austin, TX 78778
(512) 463-2544

TEXAS

AGENCY: Employment Commission

NAME: Premonetary Determination Process

PURPOSE: To verify earned income

PROGRAM: Unemployment Compensation Benefits

FILE: Wage

STATUS: Operational since 1979.

DESCRIPTION: For each initial UCB claim filed in a Texas Employment Commission local office, the claimstaker receives on his or her computer terminal or on a printer a preliminary monetary determination. This preliminary determination is produced by matching applicant data with the wage file. It shows wage amount, employee name, and employer name. The claimstaker reviews the information to insure that all wage credits are valid and that there are sufficient wage credits.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT:
Bill Townsen
Associate Chief of Benefits
Texas Employment Commission
TEC Building, Room 380
101 East 15th Street
Austin, TX 78778
(512) 463-2544

TEXAS

AGENCY: Employment Commission

NAME: Monetary Determination Process

PURPOSE: To verify earned income

PROGRAM: Unemployment Compensation Benefits

FILE: Wage

STATUS: Operational since 1962.

DESCRIPTION: When a new UCB claim is entered into the computer system, it is matched against the wage file. Matches are made using the applicant's SSN and name. The resulting monetary determination lists all wages recorded under that SSN during the base period. It also shows the name listed for the number, the employer's account number, and an abbreviated employer name. By matching the names on the determination, erroneously attributed wages can be detected.

PROCESSING: Batch **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Bill Townsen
Asscciate Chief of Benefits
Texas Employment Commission
TEC Building, Room 380
101 East 15th Street
Austin, TX 78778
(512) 463-2544

TEXAS

AGENCY: Employment Commission

NAME: Initial and Weekly Claims Process

PURPOSE: To verify disqualifications

PROGRAM: Unemployment Compensation Benefits

FILE: Disqualifications

STATUS: Operational since 1962.

DESCRIPTION: Initial UCB claims are matched against nonmonetary data to prevent payment when an ineligibility or disqualification exists. (Nonmonetary data are separation and availability issues associated with a claim.) At the time these issues arise, they are entered into the computer system as a stop, which prevents payment until removed.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Bill Townsen
Associate Chief of Benefits
Texas Employment Commission
TEC Building, Room 380
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Austin, TX 78778
(512) 463-2544

TEXAS

AGENCY: Employment Commission

NAME: Overpayment Absorption Process

PURPOSE: To verify overpayments

PROGRAM: Unemployment Compensation Benefits

FILE: Overpayments

STATUS: Operational since 1964.

DESCRIPTION: When an overpayment is made to an individual, that information becomes part of the overpayment file. As initial UCB claims are entered, they are batch matched by applicant SSN against the overpayment file. This process prevents payment when overpayment recovery is possible.

PROCESSING: Batch **USE:** Not available

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Bill Townsen
Associate Chief of Benefits
Texas Employment Commission
TEC Building, Room 380
101 East 15th Street
Austin, TX 78778
(512) 463-2544

UTAH

AGENCY: Department of Employment Security

NAME: Cross-Match

PURPOSE: To prevent duplicate benefits and to verify disqualifications and overpayments

PROGRAM: Unemployment Compensation Benefits

FILES: Unemployment Compensation Benefits, Disqualifications and Overpayments

STATUS: Operational since 1975.

DESCRIPTION: Applicant is matched against prior and current claim files, previous disqualification data, and previous overpayment data. The matches are done to determine if any conditions exist which would render the applicant ineligible or if an overpayment balance exists which must be repaid. All offices have on-line access to this data.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:

	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Dee Snowball
Program Specialist
Utah Department of Employment Security
P.O. Box 11249
Salt Lake City, UT 84147
(801) 533-2701

UTAH

AGENCY: Department of Employment Security

NAME: Premonetary Determination

PURPOSE: To verify earned income and work history

PROGRAM: Unemployment Compensation Benefits

FILE: Wage

STATUS: Under development. Estimated completion date is January 1986.

DESCRIPTION: When an applicant files for benefits, he or she will be given a premonetary determination that will show all wages and employers that have reported earnings. This will be done on-line by a computer. The applicant's possible benefits will be calculated and displayed. If there are errors in wages or employers, they will be immediately detected. This process will also allow the claimstaker to determine eligibility by showing if sufficient wages exist.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	

CONTACT:
Brian Seamons
Program Specialist
Utah Department of Employment Security
P.O. Box 11249
Salt Lake City, UT 84147
(801) 533-2079

VERMONT

AGENCY: Agency of Human Services

NAME: Name and Social Security Number Match

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid, General Assistance, State Essential Person and Low Income Energy Assistance

FILES: AFDC, Food Stamp and Medicaid Recipient

STATUS: Operational since 1983.

DESCRIPTION: When an applicant comes into a local office, a worker enters his or her name and Social Security Number into the computer. No household member on Vermont's access system can participate using the same name or Social Security Number. This process prevents duplicate benefits and any further processing of such a household member.

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Jennifer Trombley, Director
Computer Services Division
103 South Main Street
Waterbury, VT 05676
(802) 241-2514

VERMONT

AGENCY: Agency of Human Services

NAME: Unemployment Compensation Match

PURPOSE: To verify unearned income

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILE: Unemployment Compensation Benefits

STATUS: Operational since January 1985.

DESCRIPTION: The State conducts weekly matches with Unemployment Compensation for AFDC, Food Stamp and Medicaid applicants. A match is done every Friday for checks paid out that week. To date, the State has gotten as many as 140 hits for a seven-day period.

PROCESSING: Not available **USE:** Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Jennifer Trombley, Director
Computer Services Division
103 South Main Street
Waterbury, VT 05676
(802) 241-2514

VERMONT

AGENCY: Department of Employment and Training

NAME: New Claims Process

PURPOSE: To prevent duplicate benefits

PROGRAM: Unemployment Compensation Benefits

FILE: Unemployment Compensation Benefits

STATUS: Operational since 1975.

DESCRIPTION: When new UCB claims are filed, the local offices send them to the central office for batch processing on a daily basis. All new claims are matched by Social Security Number against the file of current recipients to detect existing benefit years (or prevent duplicate benefits). The claim is rejected and returned to the local office if there is an existing benefit year; otherwise, the claim is processed as usual.

PROCESSING: Batch **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Pat McCabe
Systems Analyst
Vermont Department of Employment and Training
P.O. Box 488
Montpelier, VT 05602
(802) 229-0311

VIRGINIA

AGENCY: Employment Commission

NAME: Duplicate Claim Verification

PURPOSE: To prevent duplicate benefits

PROGRAM: Unemployment Compensation Benefits

FILE: Unemployment Compensation Benefits

STATUS: Operational since 1974.

DESCRIPTION: When an individual files an initial UCB claim, applicant data is collected and sent from local offices to the central office to be data processed. A crossmatch is made of the initial claim and the existing UCB claim file by SSN to prevent duplicate claims. If an existing claim is discovered through this process, the initial claim is rejected and returned to the local office for action.

PROCESSING: Batch **USE:** Not available

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Floyd Tucker
Assistant Chief of Benefits
P.O. Box 1358
Richmond, VA 23211
(804) 786-4043

VIRGINIA

AGENCY: Employment Commission

NAME: Name Check Verification

PURPOSE: To verify earned income and work history

PROGRAM: Unemployment Compensation Benefits

FILE: Wage

STATUS: Operational since 1974.

DESCRIPTION: When an applicant comes into a local office to file an initial UCB claim, applicant data is collected and sent to the central office to be batch processed. At the central office, a crossmatch is made of the name on the initial claim file with the name listed on the employer wage file to prevent the use of incorrect wages to establish a claim for UCB. A match is also made with the employer wage file to determine if sufficient wage credits exist.

PROCESSING: Batch

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT:
Floyd Tucker
Assistant Chief of Benefits
P.O. Box 1358
Richmond, VA 23211
(804) 786-4043

VIRGINIA

AGENCY: Employment Commission

NAME: Disqualification or Overpayment Verification

PURPOSE: To verify disqualifications and overpayments

PROGRAM: Unemployment Compensation Benefits

FILES: Disqualifications and Overpayments

STATUS: Operational since 1974.

DESCRIPTION: When an individual files an initial UCB claim, applicant data is collected and sent from local offices to the central office to be data processed. A crossmatch is made between applicant data and the disqualifications and overpayments files to determine whether or not an individual has an existing disqualification or overpayment. Matches are printed out and returned to local offices for action.

PROCESSING: Batch

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT:
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(804) 786-4043

VIRGIN ISLANDS

AGENCY: Employment Security Agency

NAME: Initial Claim Determination

PURPOSE: To prevent duplicate benefits, and to verify earned income

PROGRAM: Unemployment Compensation Benefits

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since 1981.

DESCRIPTION: When an initial UCB claim is filed, the local office sends applicant data to the central office for batch processing. The applicant information is screened against other existing claims to check for duplicate benefits and against the wage file to determine if the applicant has sufficient wage credits to qualify for UCB.

PROCESSING: Batch   **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Norma Simmonds
Assistant Director VIESA-UI
P.O. Box 9650
St. Thomas, VI 00801
(809) 776-3700

WASHINGTON

AGENCY: Department of Social and Health Services

NAME: File Clearance and Preauthorization Cross Checks

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid, CEAP, Refugee Resettlement and State Programs

FILES: AFDC, Food Stamp and Medicaid Recipients

STATUS: Operational since 1974. Over time, incremental changes have been made.

DESCRIPTION: When a person applies for AFDC, Food Stamps, or Medicaid, his or her name is matched against the State's computerized welfare files in the central office via a statewide network of terminals in local offices. The computer system searches recipient files using names and birth dates or SSNs. When a match occurs, the information is displayed on a terminal in the local office. The worker reviews the data to determine if the information is about the applicant. When assistance is authorized but before payment is made, another computer check is made to insure the client is not currently receiving assistance. The authorization is rejected if there is an overlapping payment period.

PROCESSING: On-line

USE: Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Roberta Pete
Community Services Program Manager
Division of Income Assistance
OB 31-C
Olympia, WA 98504
(206) 753-4916

WASHINGTON

AGENCY: Department of Social and Health Services

NAME: Community Services Management Operation System (COSMOS) Clearance Process

PURPOSE: To prevent duplicate benefits, and to verify overpayments, sanctions and disqualifications

PROGRAMS: AFDC, Food Stamps (public and non-public assistance), Medicaid and General Assistance

FILES: AFDC, Food Stamp, Medicaid Recipient and Overpayments

STATUS: Under development. Estimated completion date is not available.

DESCRIPTION: COSMOS is a comprehensive management information system under development as a Family Assistance Management Information System (FAMIS) project. When completed, the applicable portion of COSMOS which will verify eligibility will check for duplicate benefits. Inquiry into the data base will be made by the applicant's SSN, name, address or household number. Potential matches will be displayed on the screen. If the applicant is identified as being known to the system, status information will be available such as whether the applicant is currently receiving benefits, previously received benefits, was sanctioned, had any previous overpayments, or a period of ineligibility was established for him or her.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State	X	
Federal	X (OFA)	

CONTACT: Sydney Fowler
Project Director
OB 31-C
Olympia, WA 98504
(206) 753-4735

WASHINGTON

AGENCY: Employment Security Department

NAME: Monetary Determination Process for Unemployment Insurance (UI)

PURPOSE: To prevent duplicate benefits, and to verify earned income, work history and disqualifications

PROGRAM: Unemployment Compensation Benefits

FILES: Wage, Unemployment Compensation Benefits and Disqualifications

STATUS: Operational since 1983.

DESCRIPTION: When a UCB applicant files an initial claim in a local office, his or her SSN and other data is entered on-line into the computer system. The system matches the SSN with existing UCB claims and existing disqualifications, to prevent duplicate benefits and payment to persons who have been disqualified from participation. The system also matches applicant data with the wage file to determine if sufficient wage credits and work hours exist. The claimant receives a copy of the monetary determination generated through this process and any information about items which would prevent a new claim filing.

PROCESSING: On-line USE: Not available

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Charles Sadler
Chief, Program Support
Employment Security Department M/S KG-11
212 Maple Park
Olympia, WA 98504
(206) 753-5120

WISCONSIN

AGENCY: Department of Health and Social Services

NAME: Match the Applicant's SSN Against the SSN of All Active Caseheads

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILES: AFDC, Food Stamp and Medicaid Recipient

STATUS: Operational since 1980.

DESCRIPTION: Wisconsin's income maintenance system utilizes a combined application form for application to the AFDC, Food Stamps, and Medicaid programs. The data on this form is terminally input in local offices into the Computer Reporting Network and electronically processed to determine AFDC, Food Stamps, and Medicaid eligibility and benefit amount. The applicant's SSN becomes the case number. On application this SSN is matched against all case heads' SSNs to assure there are not any duplicate case heads.

PROCESSING: On-line

USE: Not available

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Paul Saeman
Management Information Specialist
Wisconsin Division of Community Services
18 South Thornton Avenue
P.O. Box 8913
Madison, WI 53708
(608) 267-7918

WISCONSIN

AGENCY: Unemployment Compensation Division

NAME: Social Security Number Check

PURPOSE: To prevent duplicate benefits

PROGRAM: Unemployment Compensation Benefits

FILE: Unemployment Compensation Benefits

STATUS: Operational since 1968.

DESCRIPTION: When an applicant comes into a local office, his or her Social Security Number (SSN) is matched against the payment file of current UCB recipients. Workers have on-line access to this information and do this match for all applicants. This match detects existing claims and prevents use of one SSN by two different individuals.

PROCESSING: On-line **USE**: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: James L. McGuire
Benefit Fraud Operations
P.O. Box 7905
Madison, WI 53707
(608) 266-0374

WISCONSIN

AGENCY: Unemployment Compensation Division

NAME: Disqualification and Overpayment Check

PURPOSE: To verify disqualifications and overpayments

PROGRAM: Unemployment Compensation Benefits

FILE: Unemployment Compensation Benefits

STATUS: Operational since 1968.

DESCRIPTION: When an individual files an initial UCB claim, applicant data is transmitted to the central office. If a disqualification already exists, no new payments are made unless and until the disqualification is satisfied. If an overpayment already exists and the applicant does qualify for UCB, benefit payments are used to offset the amount owed.

PROCESSING: Batch

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: James L. McGuire
Benefit Fraud Operations
P.O. Box 7905
Madison, WI 53707
(608) 266-0374

WYOMING

AGENCY: Employment Security Commission

NAME: Initial Claims Process

PURPOSE: To prevent duplicate benefits

PROGRAM: Unemployment Compensation Benefits

FILE: Unemployment Compensation Benefits

STATUS: Operational. Information on length of time operational not available.

DESCRIPTION: As part of the initial claims process, an on-line match is made of UCB applicant data with prior benefit year claims. This prevents qualifying claimants when benefit years overlap. If the check establishes there is not already a current benefit year, the worker may proceed with the claim. The match is done for all applicants.

PROCESSING: On-line

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT:
Greg Olson
Internal Security/BPC Manager
Employment Security Commission
P.O. Box 2760
Casper, WY 82602
(307) 235-3277

WYOMING

AGENCY: Employment Security Commission

NAME: Unemployment Insurance Monetary Eligibility

PURPOSE: To verify earned income and work history

PROGRAM: Unemployment Compensation Benefits

FILE: Wage

STATUS: Operational since 1971.

DESCRIPTION: UCB cannot be paid unless the individual claimant earned sufficient wages during a stipulated period to meet the monetary eligibility requirements established by Wyoming statute. The Employment Security Commission uses a computer program to access the employer wage record file to determine if sufficient wages were earned and reported to enable the individual to qualify for UCB. Claims are sent from local offices to a central location for this batch processing.

PROCESSING: Batch **USE:** Mandatory

FUNDING SOURCES:	**Development**	**Operation**
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Greg Olson
Internal Security/BPC Manager
Employment Security Commission
P.O. Box 2760
Casper, WY 82602
(307) 235-3277

WYOMING

AGENCY: Employment Security Commission

NAME: Initial and Weekly Claims Process

PURPOSE: To verify disqualifications

PROGRAM: Unemployment Compensation Benefits

FILE: Disqualifications

STATUS: Operational. Information on length of time operational not available.

DESCRIPTION: Initial UCB claims are matched against nonmonetary data to prevent payment when an ineligibility or disqualification exists. (Nonmonetary data are separation and availability issues associated with a claim.) At the time these issues arise, they are entered into the computer system as a stop, which prevents payment until removed.

PROCESSING: On-line **USE:** Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Greg Olson
Internal Security/BPC Manager
Employment Security Commission
P.O. Box 2760
Casper, WY 82602
(307) 235-3277

WYOMING

AGENCY: Employment Security Commission

NAME: Overpayment Absorption Process

PURPOSE: To verify overpayments

PROGRAM: Unemployment Compensation Benefits

FILE: Overpayments

STATUS: Operational. Information on length of time operational not available.

DESCRIPTION: When an overpayment is made to an individual, that information becomes part of the overpayment file. As initial UCB claims are entered, they are batch matched by applicant SSN against the overpayment file. This process prevents payment when overpayment recovery is possible.

PROCESSING: Batch

USE: Not available

FUNDING SOURCES:

	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT: Greg Olson
Internal Security/BPC Manager
Employment Security Commission
P.O. Box 2760
Casper, WY 82602
(307) 235-3277

WEST VIRGINIA

AGENCY: Department of Human Services

NAME: Client Index System

PURPOSE: To prevent duplicate benefits

PROGRAMS: AFDC, Food Stamps (public and non-public assistance) and Medicaid

FILES: AFDC, Food Stamp and Medicaid Recipients

STATUS: Operational since 1983. System is being enhanced so that real time updates are made to the recipient data bases.

DESCRIPTION: The Client Index System consists of automated alphabetic and SSN indexes of all individuals contained in the agency's AFDC, AFDCU, Food Stamp, Medicaid, and Medicaid for the Medically Needy programs. (The AFDCU program is for unemployed AFDC recipients.) The system allows workers to make an on-line inquiry by applicant name or SSN and provides the following information about clients: name, SSN, case certificate number, county, category of assistance, street address, zip code, case status (i.e., opened, closed, or denied), and birthdate. Thus, present receipt of benefits applied for can be uncovered and duplicate payment prevented.

PROCESSING: On-line **USE:** At worker's discretion

FUNDING SOURCES:	Development	Operation
Local		
State	X	X
Federal	X (OFA, FNS, HCFA)	X (OFA, FNS, HCFA)

CONTACT: Michael F. McCabe
Director, Division of Computer Services
1900 Washington Street East
Building 6, Room 663
Charleston, WV 25305
(304) 348-5906

WEST VIRGINIA

AGENCY: Department of Employment Security

NAME: Eligibility for Unemployment Insurance

PURPOSE: To prevent duplicate benefits, and to verify earned income and work history

PROGRAM: Unemployment Compensation Benefits

FILES: Wage and Unemployment Compensation Benefits

STATUS: Operational since 1978.

DESCRIPTION: When a new claim for UCB is entered into the automated benefit payment system, the computer matches applicant data against wage and UCB files to determine if there is already a valid claim on file either in West Virginia or in another State. If there is, the claim is rejected. If another claim doesn't exist, the computer checks the wage file to determine if the applicant has sufficient wage credits, automatically calculates the claimant's weekly and maximum benefit amounts, and produces a monetary determination.

PROCESSING: Batch

USE: Mandatory

FUNDING SOURCES:	Development	Operation
Local		
State		
Federal	X (ETA)	X (ETA)

CONTACT:
C. Duke Ramsey
Data Processing Manager
West Virginia Department of Employment Security
112 California Avenue
Charleston, WV 25305-0112
(304) 348-3477

APPENDICES

OMB # 0990-0127
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APPENDIX A

SURVEY OF COMPUTERIZED FRONT-END VERIFICATION TECHNIQUES
STATE OR LOCAL FRONT-END TECHNIQUE PROFILE

Please indicate your answers below. If your State has more than one automated front-end eligibility verification technique in place or under development, please reproduce the questionnaire and complete one copy for each technique. If the technique is under development, do not answer questions 13, 16, and 19. For your information, a computerized front-end eligibility verification technique means any automated or computerized technique which is used to aid in determining the eligibility of applicants prior to the payment of any benefits.

1) Name of agency using technique ______________________________

Name of State ______________________________

2) Name of front-end verification technique ______________________________

____

3) Describe the technique and its goals in nontechnical terms. Include a step-by-step description of the workflow. (Do not include a flowchart of the actual computer program).

4) Is the computerized technique

_____ a match? (A match is the comparison of data elements on two or more computer files.)
_____ a screen? (A screen is the comparison or analysis of data elements on one computer file.)
_____ an edit? (An edit is a check in the system to assure that data elements entered are within certain limits.)
_____ other? (Specify)

5) Check all specific eligibility-related factor(s) on which the computerized technique provides information.

Duplicate Benefits _____
Identity _____
Income _____
Resources/Assets _____
Social Security Number _____

Work History _____
Work Registration _____
Other(s) (Specify: e.g., household composition, residency)
_____________________ _____
_____________________ _____

6) Check all program(s) involved in the computerized technique:

AFDC _____
Food Stamps
  Public Assistance _____
  Non-Public Assistance _____

Medicaid _____
Unemployment Insurance _____
Other (Specify) _____
____

7) What files are used in the computerized technique? (e.g., in the case of a match, AFDC applicant files might be matched against State birth records).

8) Is the technique

(a) _____ under development?
If so, when was it begun? _____________________
What is the estimated completion date? _____________________
____

(b) _____ operational?
How long has it been operating?
____
____

9) Is the technique part of a demonstration or pilot project?

Yes______ No______

If so, which Federal agency is sponsoring the project?

10) Is the technique used statewide?

Yes ______ No ______

If No:
In which jurisdictions is it used?

Why isn't the technique used statewide?

If statewide use is anticipated, when will it occur?

11) How does management track the disposition of hits? (A hit is defined as a matching of two or more data elements with different or inconsistent information).

12) What were the approximate developmental costs of the computerized technique? (Some of the categories to consider include hardware/software, personnel, computer processing time, file conversion, overhead, and staff training).

13) What are the approximate annual operating costs associated with the use of the computerized technique? (Consider costs for hardware, software, personnel, and so on).

14) Indicate by a checkmark below the sources of funding for the computerized technique.

	Developmental Funding	Operational Funding
Local	______________	____________
State	______________	____________
Federal	______________	____________
Other	______________	____________

If Federal or "other" funding is checked, list the Federal agency or other source and indicate whether funding was for development or for operation of the technique.

15) What are the approximate annual savings derived or projected from the use of the technique? How are these savings computed?

16) As a result of the use of the technique,
What is the estimated annual number of applications denied?
____
What is the estimated annual number of raw hits? (A raw hit is an unvalidated or unverified hit). ____________________________
What percentage of the raw hits were valid hits? (That is, hits that were validated as exceptions.) ____________________________

17) Do you require that applicants be notified in advance of the use of the computerized technique?

Yes______ No_____

If so, is advance notice required by State law?

Yes______ No_____

What method of notification is used?

18) What provisions are made for preventing the unauthorized disclosure of information?

19) Has legal action been brought against the State due to the employment of the technique?

Yes_____ No_____

20) Are there any limitations on sharing the technique with Federal, State or local jurisdictions? If so, please specify.

21) List below the person who may be contacted by State and Federal officials for further information.

Name of contact person ______________________

Title/Position ______________________

Address ______________________

____

____

Phone number (   ) ______________________

Date survey completed ______________________

If you have any questions about this form, please contact:

Elisabeth Handley
Project Leader
HHS, Office of Inspector General
Room 5643 North Building
330 Independence Avenue, S.W.
Washington, D.C. 20201
(202) 472-5270 or
(202) 472-3480

APPENDIX B

GLOSSARY OF ABBREVIATIONS AND TERMS

- AFDC - Aid to Families with Dependent Children Program. A State- and federally-administered program which provides financial aid to needy children and their caretaker relatives.
- BENDEX - Beneficiary and Earnings Data Exchange. An automated system SSA uses to provide States with the eligibility status and payment information of recipients of Social Security Retirement, Survivors and Disability Insurance and eligibility status only of recipients of Supplemental Security Income, Medicare, Black Lung and Railroad Retirement benefits.
- DoB - Date of Birth
- Edit - a check in the system to assure that data elements entered are within certain limits.
- ETA - Employment and Training Administration, U.S. Department of Labor
- Eligibility Determination - the entire process of determining an applicant's eligibility, including the verification of eligibility factors and the calculation of benefit levels.
- Eligibility Verification - the process of confirming the accuracy of reported eligibility factors such as earned income, SSN, etc.
- Front-end - prior to the payment of any benefits or awards to benefit program applicants.
- FNS - Food and Nutrition Service, U.S. Department of Agriculture
- FS - Food Stamp Program. A State- and federally-administered benefit program which provides food coupons to low-income households to augment their food budget.
- HCFA - Health Care Financing Administration, U.S. Department of Health and Human Services
- Match - the comparison of data elements on two or more computer files (compare to "Screen").

- MCD - Medicaid Program. A State- and federally-administered program which provides medical assistance to welfare recipients and other medically needy persons.
- OFA - Office of Family Assistance, Social Security Administration, U.S. Department of Health and Human Services
- Prepayment - See front-end
- Real Time - near-instantaneous response to on-line inquiries from a computer terminal.
- Sanction - a punishment or penalty such as a fine or exclusion from participation.
- Screen - the comparison of analysis of data elements on one computer file (compare to "Match").
- SDX - State Data Exchange. An SSA automated interface system which lists recipients and payment amounts for Supplemental Security Income.
- SSA - Social Security Administration, U.S. Department of Health and Human Services.
- SSN - Social Security Number
- UCB - Unemployment Compensation Benefits (used interchangeably with "UI")
- UI - Unemployment Insurance Program (used interchangeably with "UCB"). A State- and federally-administered program which provides cash unemployment compensation to those temporarily out of work.
- WIN - Work Incentive Program

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